

# The Power of Density Functional Theory for Materials Physics and Chemistry

Date 12.09.19

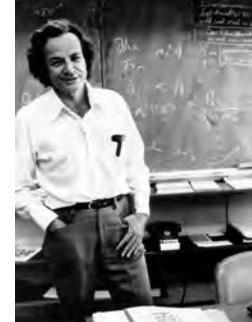
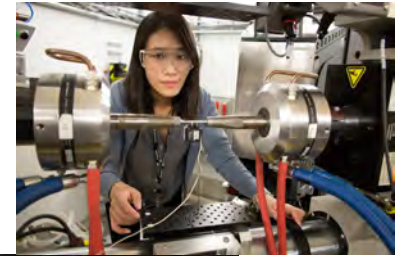
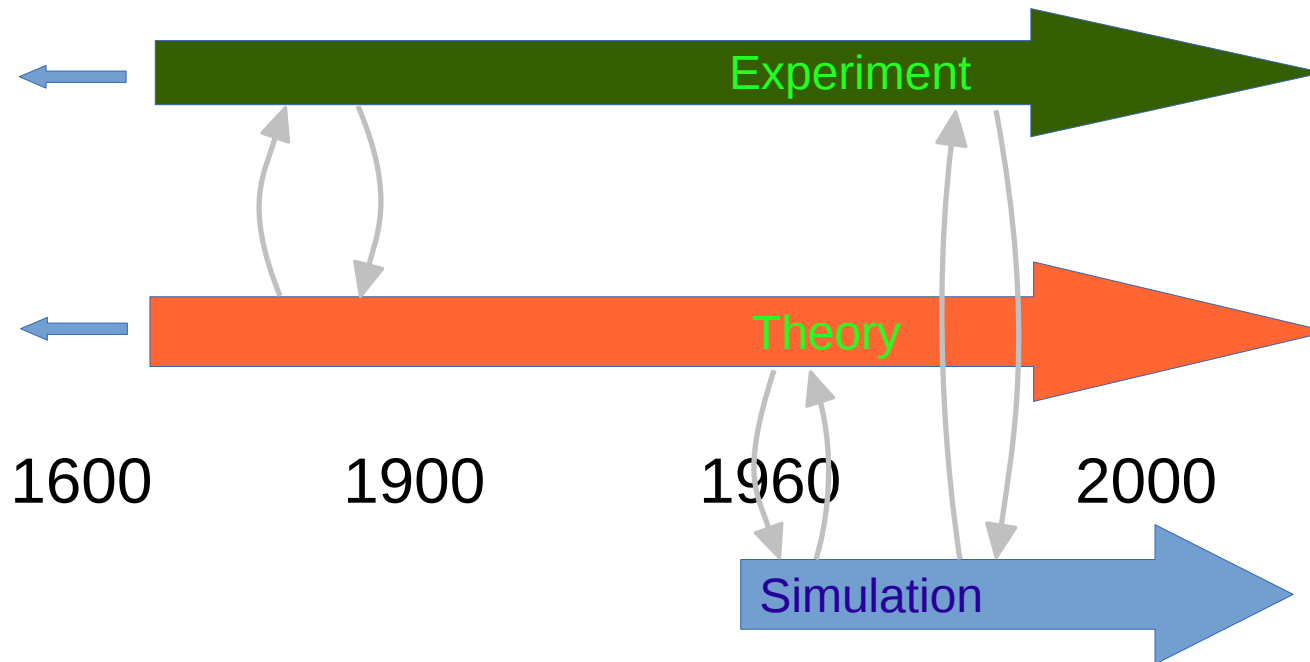
Keith Refson



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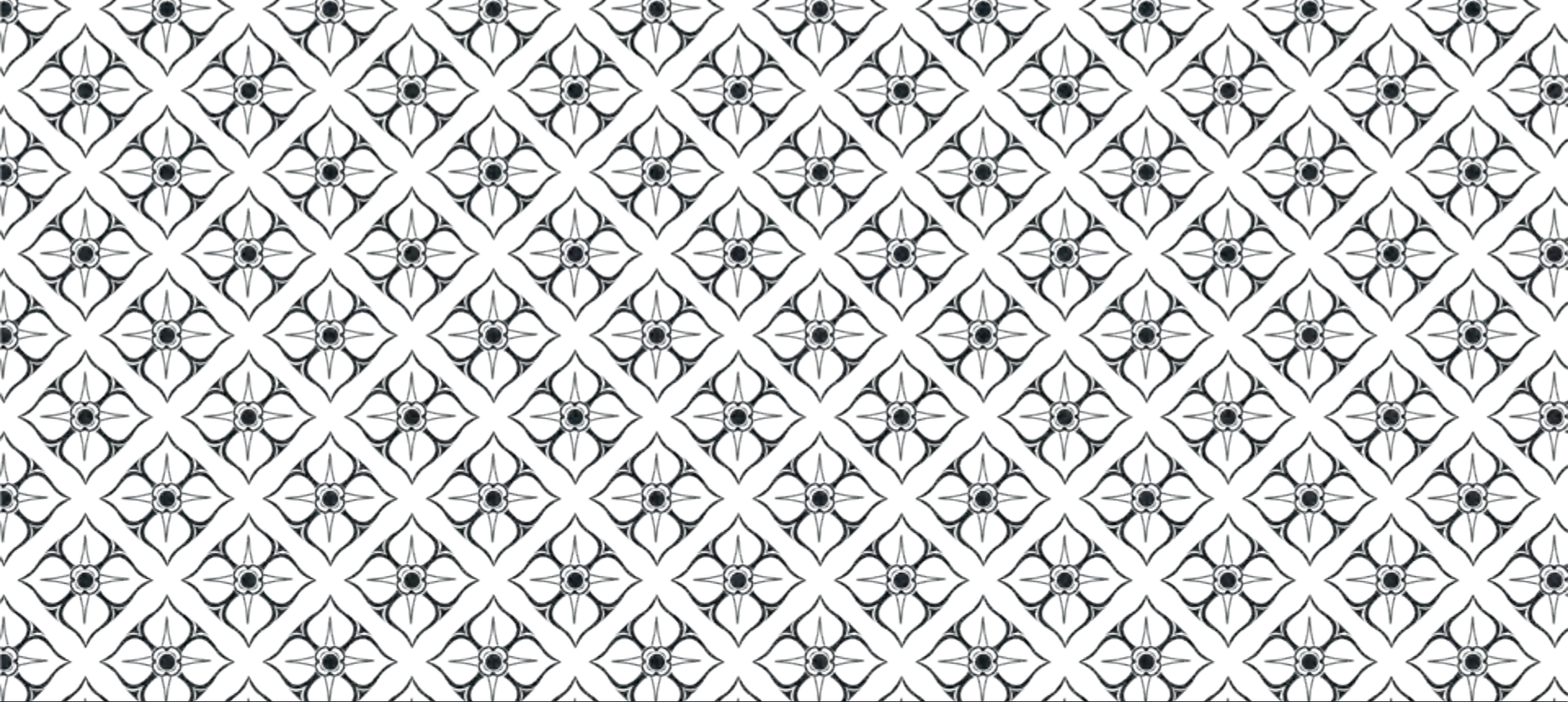
# Third mode of science

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*"During its spectacular rise, the computational has joined the theoretical and experimental branches of science, and is rapidly approaching its two older sisters in importance and intellectual respectability."*

Peter D Lax, J. Stat. Phys. **43**, 749 (1986)

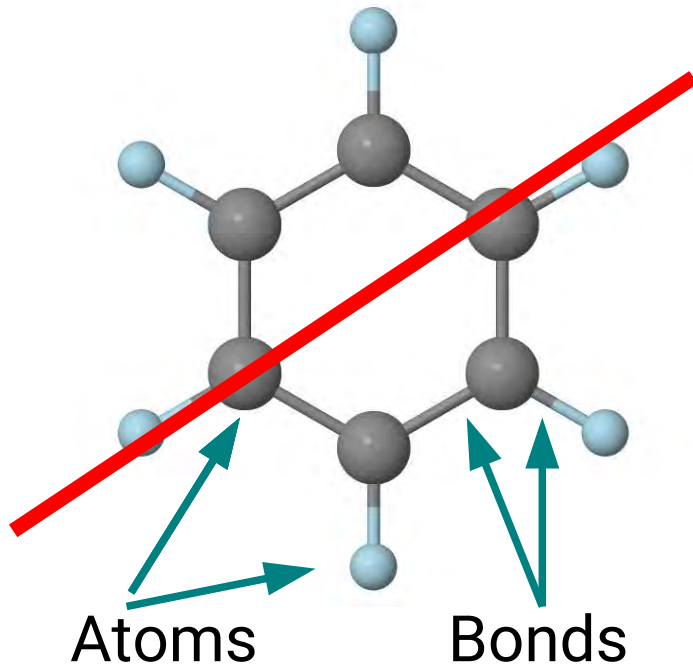


# Quantum Mechanics and Density Functional Theory

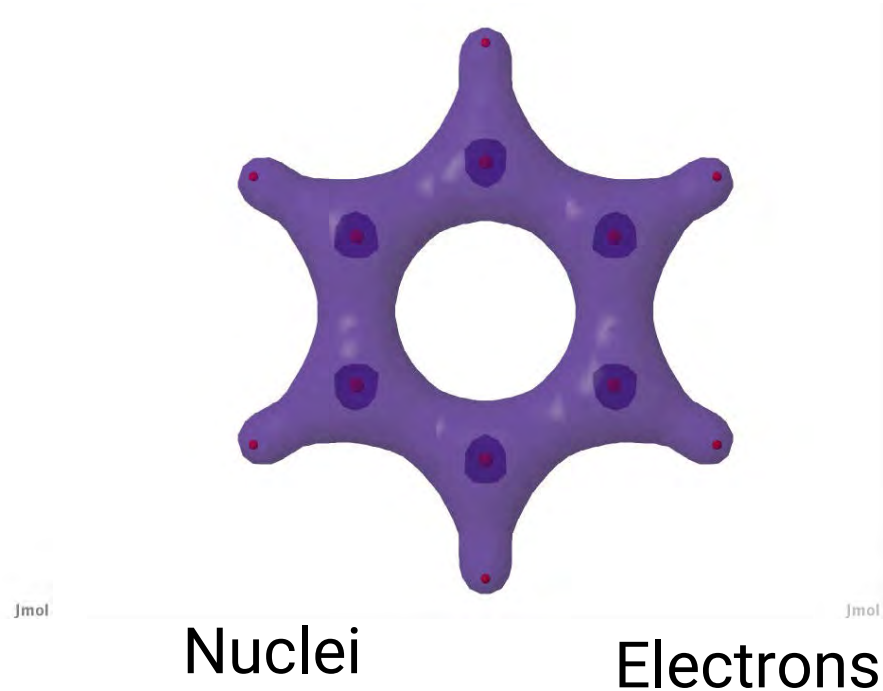


# The quantum Toolbox

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$$F = m a$$



$$\frac{-\hbar^2}{2m_e} \Psi + \hat{V} \Psi = E \Psi$$

# The Theory of Everything

“The underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the application of these laws leads to equations much too complicated to be soluble.”

P.A.M. Dirac, Proceedings of the Royal Society **A123**, 714 (1929)

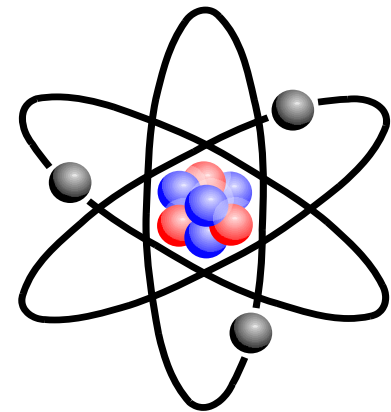
## Why?

Each electron interacts with the nucleus  
Every electron also interacts with every **other** electron.

In Lithium ( $Z=3$ ) there are **3 e-e interactions** to consider.  
In Boron ( $Z=5$ ) there are **10 e-e interactions** to consider.  
In Iron ( $Z=26$ ) there are **325 e-e interactions** to consider.  
In Uranium ( $Z=92$ ) there are **4186 e-e interactions** to consider.

.. and that's just isolated atoms. We need to model crystals and molecules containing hundreds of atoms.

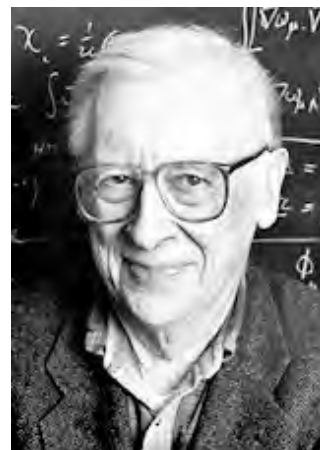
**QM of multi-electron atoms still too complex to solve on  
Powerful supercomputers in 2019 (and foreseeable future)..**



# Approximate quantum mechanics



Walter Kohn 1923-2016



John Pople 1925-2004

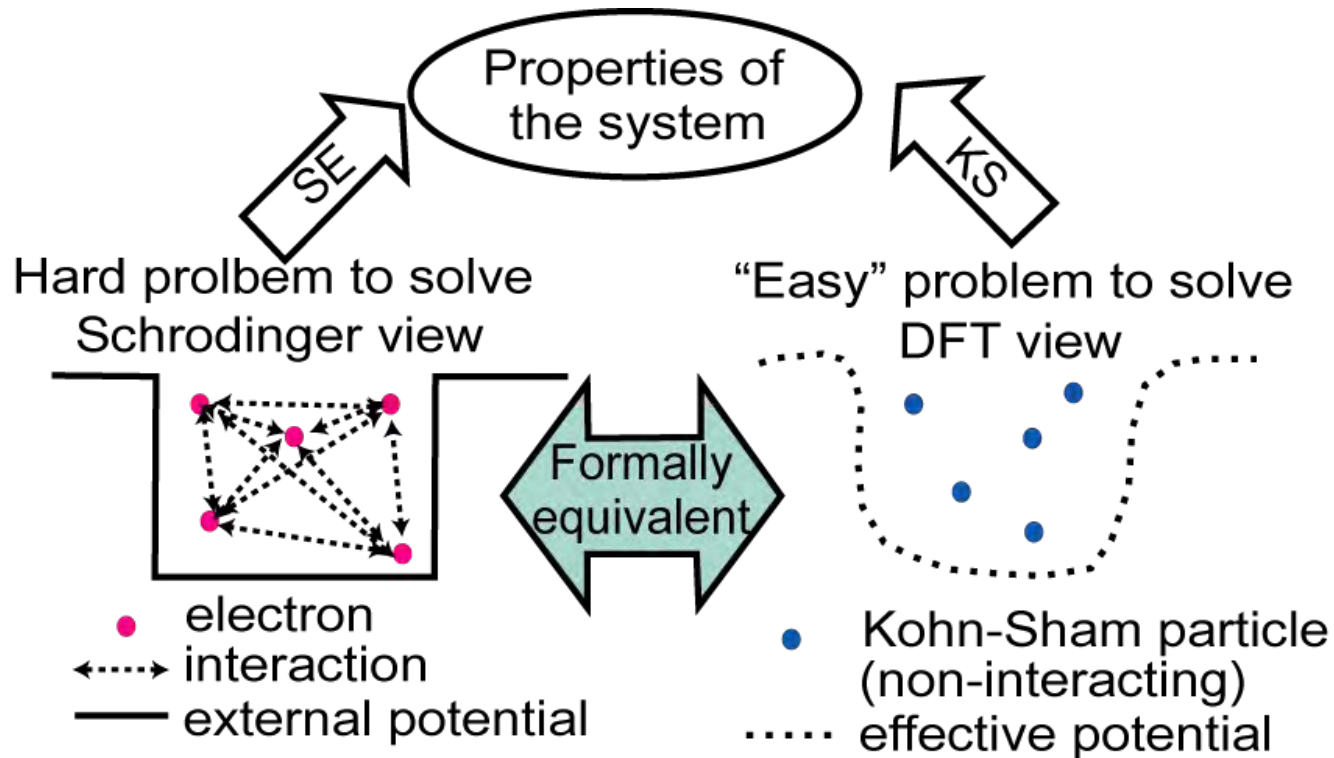
The Nobel Prize in Chemistry 1998 was divided equally between Walter Kohn "for his development of the **density-functional theory**" and John A. Pople "for his development of computational methods in **quantum chemistry**".

Key developments dating back to 1960s and 70s were approximate quantum theories which were nevertheless "good enough".

**Density Functional Theory**- Local Density Approximation

**Hartree-Fock approximation**, MP2, CI, CCSD(S,T)

# Density Functional Theory

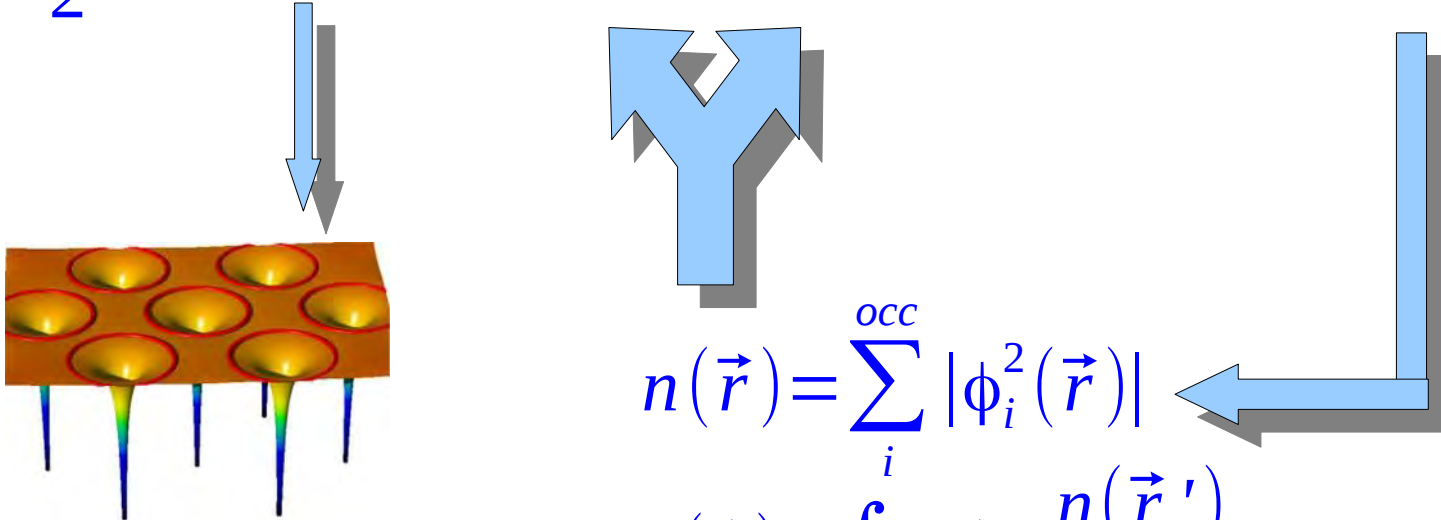


Approximate e-e interaction with

- local density approximation (LDA)
- generalized gradient approximation (GGA)
- Hybrids, DMFT, GW, ...

Modified from Mattsson et al., (2005)  
Modeling. Simul. Mater. Sci. Eng. 13, R1.

# Kohn-Sham equations

$$\left\{ \frac{1}{2} \nabla^2 + V_{\text{ext}}(\vec{r}) + V_H(\vec{r}) + V_{\text{xc}}([n(\vec{r})]) \right\} \phi_i(\vec{r}) = \epsilon_i \phi_i(\vec{r})$$

$$n(\vec{r}) = \sum_i^{\text{occ}} |\phi_i(\vec{r})|^2$$
$$V_H(\vec{r}) = \int d\vec{r}' \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|}$$

Self-consistent solution required.

# LDA and GGAs

## LDA

$$V_{xc}[n] \approx V_{xc}(n(\vec{r}))$$

$$V_{xc} \equiv \frac{\delta E_{xc}[n]}{\delta n(\vec{r})}$$

*Parameterized from uniform electron gas*

- Cohesive energies  $\sim 1\text{eV}$  too large
- lattice parameters and bond lengths -1-2%
- Band gaps too small
- Hund's rule for open shells not always obeyed
- Van der Waals forces not included

## GGAs

$$V_{xc}[n] \approx V_{xc}(n(\vec{r}), \nabla n(\vec{r}))$$

e.g. PBE, PW91, BLYP, ...

*Parameterized from non-uniform electron gas and atoms*

- Cohesive energies error of  $\sim 100\text{ meV}$
- lattice parameters and bond lengths -1-2%
- Band gaps too small
- Hund's rule for open shells not always obeyed
- Van der Waals forces not included

# Meta GGAs and Hybrids

Meta-GGA  $V_{xc}[n] \approx V_{xc}(n(\vec{r}), \nabla n(\vec{r}), \tau_s(\vec{r}))$   $\tau_s(\vec{r}) = \frac{1}{2} \sum_{mk} |\nabla \phi_{mk}(\vec{r})|^2$

*Added dependency on kinetic-energy density*

- Early forms (TPSS) not fully self-consistent.
- SCAN & rSCAN fitted to exact results and QMC.
- lattice parameter error  $\sim 0.007\text{\AA}$
- Self-interaction error still present (Hund's rule not always obeyed)  
Cohesive energies accurate to  $\sim 30\text{ meV}$
- Van der Waals forces still not included

## Hybrids

$$E_{xc}^{PBE0} \equiv \frac{3}{4} E_x^{PBE} + \frac{1}{4} E_x^{HF} + E_c^{PBE}$$

e.g. PBE0, B3LYP, HSE06...

*Linear admixture of DFT and Hartree-Fock exchange*

- Cohesive energies and lattice parameters slightly better than GGAs
- Partially self-interaction corrected – band gaps improved
- Hund's rule for open shells obeyed
- Van der Waals forces not included

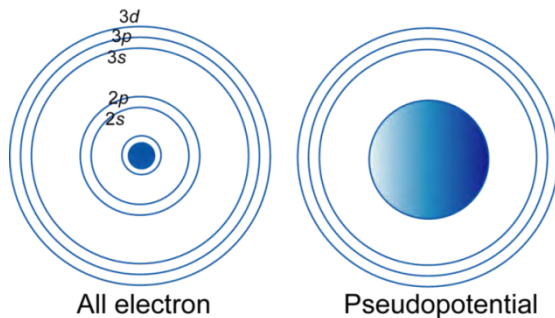
# Plane-waves and pseudopotentials

## Plane-wave basis set

$$\psi_{n,\mathbf{k}}(\mathbf{r}) = u_{n,\mathbf{k}}(\mathbf{r})e^{i\mathbf{k}\mathbf{r}},$$
$$u_{n,\mathbf{k}}(\mathbf{r}) = \frac{1}{\Omega^{1/2}} \sum_{\mathbf{G}} C_{\mathbf{G}n\mathbf{k}} e^{i\mathbf{G}\mathbf{r}}$$

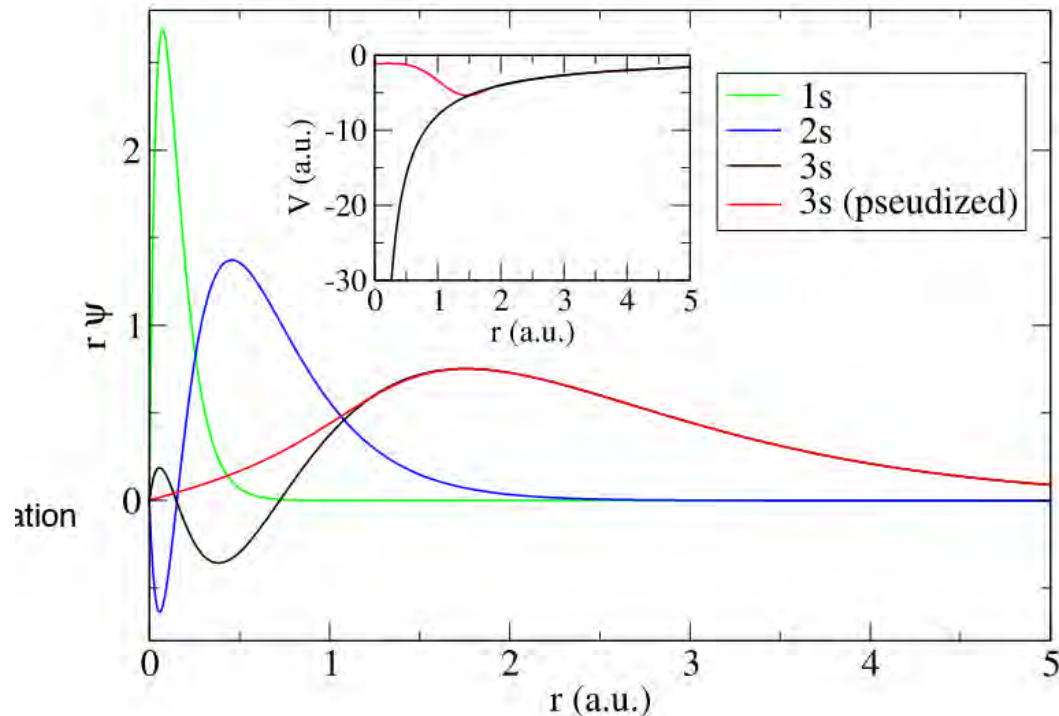
- Well-adapted for crystalline and solid/liquid modelling
- Systematic control of basis set convergence

## Pseudopotential for ionic interactions



- “All electron” method but frozen core.
- Retain chemically relevant valence electrons
- Good scaling/large systems

# Pseudopotentials



Valence orbitals determined by DFT calculation on isolated atom  
Pseudization finds potential which retains large- $r$  form  
N.B. use relativistic atom solver to include relativity in plane-wave calc.  
- correct treatment of heavy atoms.

and

# PAW

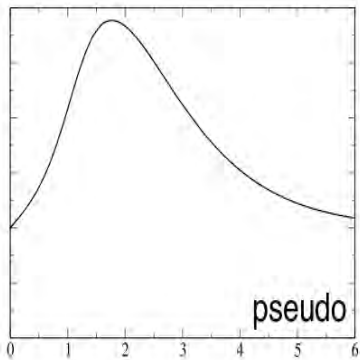
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$$|\Psi\rangle = \mathcal{T}|\tilde{\Psi}\rangle$$

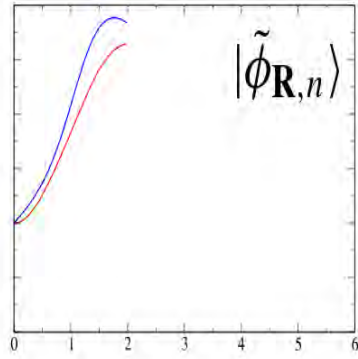
all-electron

pseudo

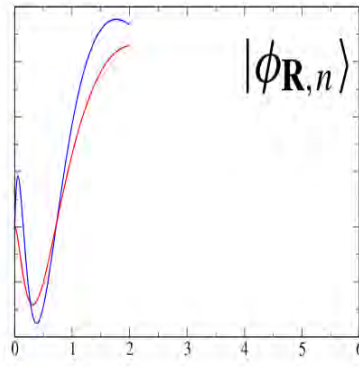
$$\mathcal{T} = \mathbf{1} + \sum_{\mathbf{R},n} [|\phi_{\mathbf{R},n}\rangle - |\tilde{\phi}_{\mathbf{R},n}\rangle] \langle \tilde{p}_{\mathbf{R},n}|$$



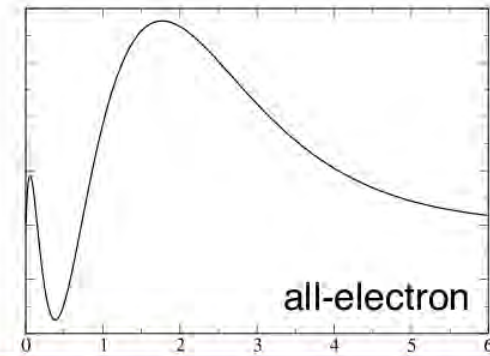
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PAW is extension of pseudopotential method.

Restores atomic nodal form of plane-wave orbitals near nucleus

Sometimes called “all-electron”, but core electrons not included!

# The Delta Project

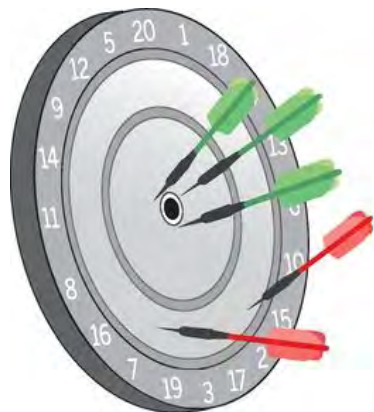
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## RESEARCH ARTICLE

### DFT METHODS

## Reproducibility in density functional theory calculations of solids

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### New methods Mutual agreement



### Old methods Different values



### Scorecard

	0.3	0.3	0.6	1.0	0.9	0.3	1.5	0.6	0.9	0.4	1.0	0.4	0.4	6.3	13.5	1.1	2.1	0.7	1.4
0.3		0.1	0.5	0.9	0.8	0.2	1.5	0.6	0.8	0.4	1.0	0.5	0.3	6.3	13.4	1.1	2.1	0.7	1.4
0.3	0.1		0.5	0.9	0.8	0.2	1.5	0.6	0.8	0.4	0.9	0.5	0.3	6.3	13.4	1.1	2.1	0.7	1.4
0.6	0.5	0.5		0.8	0.6	0.4	1.5	0.6	0.8	0.6	1.0	0.7	0.5	6.3	13.2	1.0	1.9	0.6	1.3
1.0	0.9	0.9	0.8		0.9	0.9	1.8	0.9	1.3	1.0	1.4	1.0	0.9	6.4	13.0	1.2	1.8	1.0	1.6
0.9	0.8	0.8	0.6	0.9		0.8	1.7	0.7	1.1	0.8	1.3	1.0	0.8	6.5	13.2	1.1	1.8	0.8	1.5
0.3	0.2	0.2	0.4	0.9	0.8		1.5	0.5	0.8	0.3	1.0	0.5	0.3	6.2	13.4	1.0	2.0	0.6	1.4

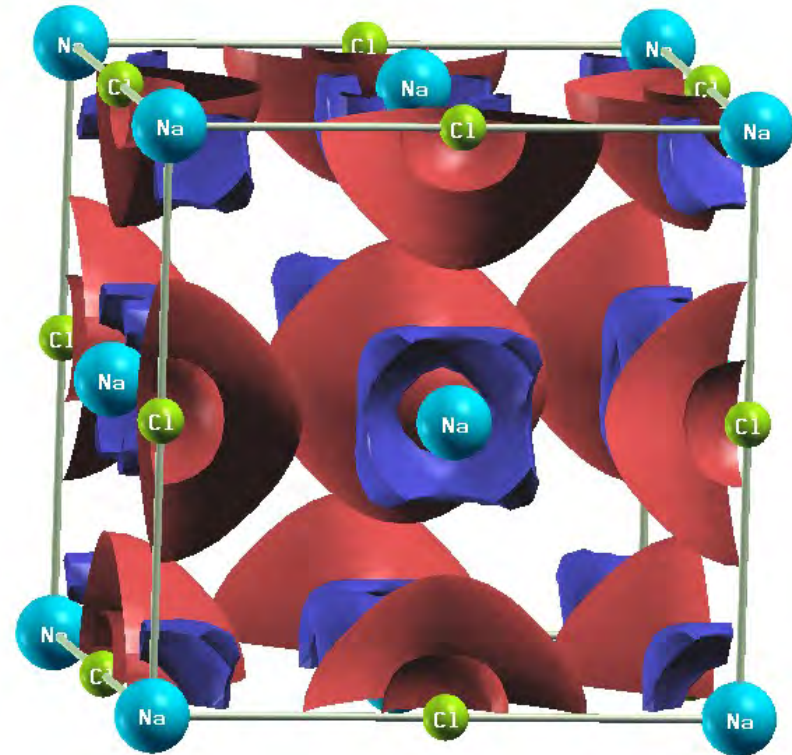
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		AE							
		Elk	exciting	FHI-aims/tier2	FlEUR	FPLO/T+F+S	RSPT	WIEN2k/acc	average $\langle \Delta \rangle$
PAW	GBRV12/ABINIT	0.9	0.8	0.8	0.9	1.3	1.1	0.8	0.9
	GPAW09/ABINIT	1.3	1.3	1.3	1.3	1.7	1.5	1.3	1.4
	GPAW09/GPAW	1.5	1.5	1.5	1.5	1.8	1.7	1.5	1.6
	JTH02/ABINIT	0.6	0.6	0.6	0.6	0.9	0.7	0.5	0.6
	PSlib100/QE	0.9	0.8	0.8	0.8	1.3	1.1	0.8	0.9
	VASPGW2015/VASP	0.5	0.4	0.4	0.6	1.0	0.9	0.4	0.6
USPP	GBRV14/CASTEP	1.1	1.1	1.0	1.0	1.4	1.3	1.0	1.1
	GBRV14/QE	1.0	1.0	0.9	1.0	1.4	1.3	1.0	1.1
	OTFG9/CASTEP	0.4	0.5	0.5	0.7	1.0	1.0	0.5	0.7
	SSSP/QE	0.4	0.3	0.3	0.5	0.9	0.8	0.3	0.5
	Vdb2/DACAPO	6.3	6.3	6.3	6.3	6.4	6.5	6.2	6.3

# Ionic bonding in NaCl

Charge transfer **from** Na **to** Cl

Unlike Si, no build up of charge between atoms



# Covalent bonding in silicon

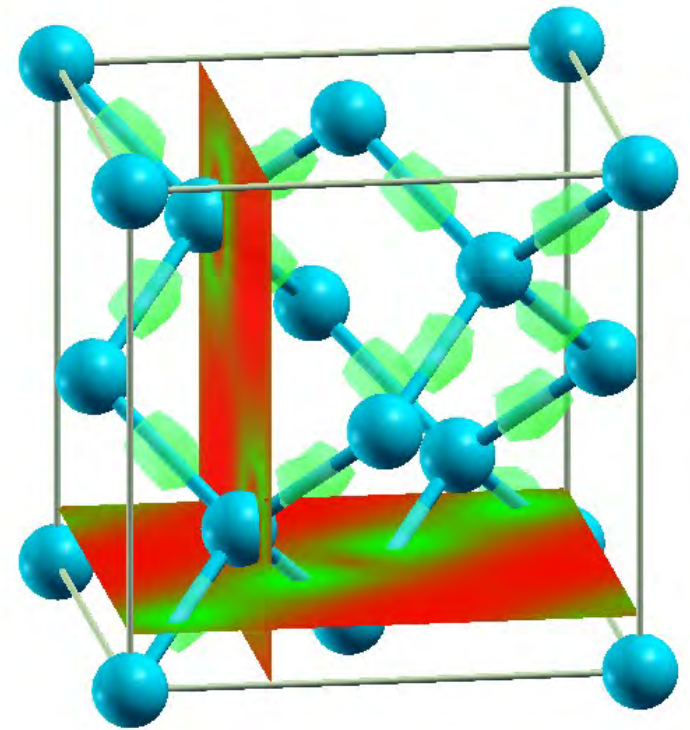
Covalent bonding arises from build up of -ve charge between +ve nuclei.

Chemical bond is **emergent property** of electron-ion system

Not merely qualitative description – can compute bond and cohesive energy.

( $E_{\text{coh}} = 5.45 \text{ eV}$ ; expt  $4.62 \text{ eV}$ )

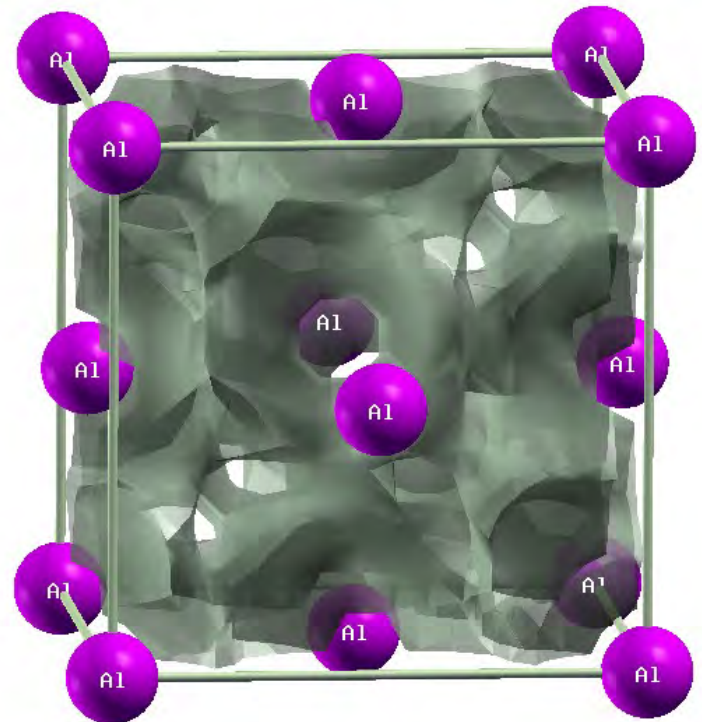
Lattice Parameter  $a_0 = 0.357 \text{ nm}$  ( $0.357 \text{ nm}$ )



# Metallic bonding in aluminium

Valence electrons are spread out – metallic state.

Calculation shows no **band gap**; correctly predicts Al is **metallic**.



# No van Der Waals Bonding

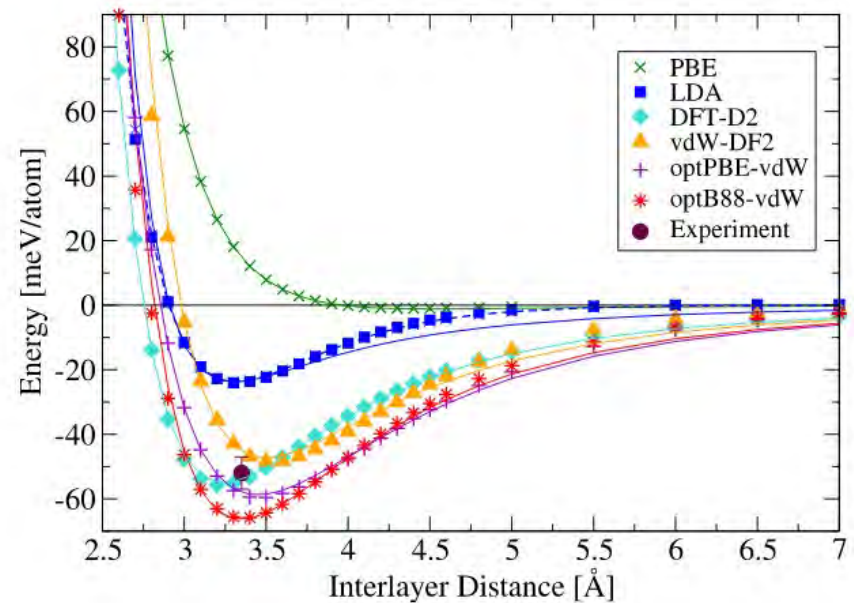
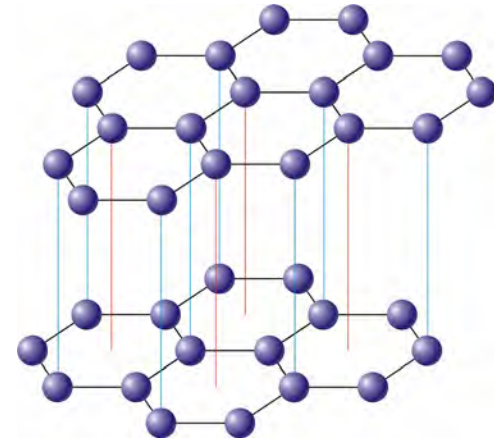
LDA, GGA, m-GGA and hybrids **do not** include non-bonded van-der Waals interactions.

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Example: Graphite

Non-polar molecular crystals can be completely unbound!

A variety of semi-empirical correction schemes exist to add missing interaction.



# Electronic Spectra

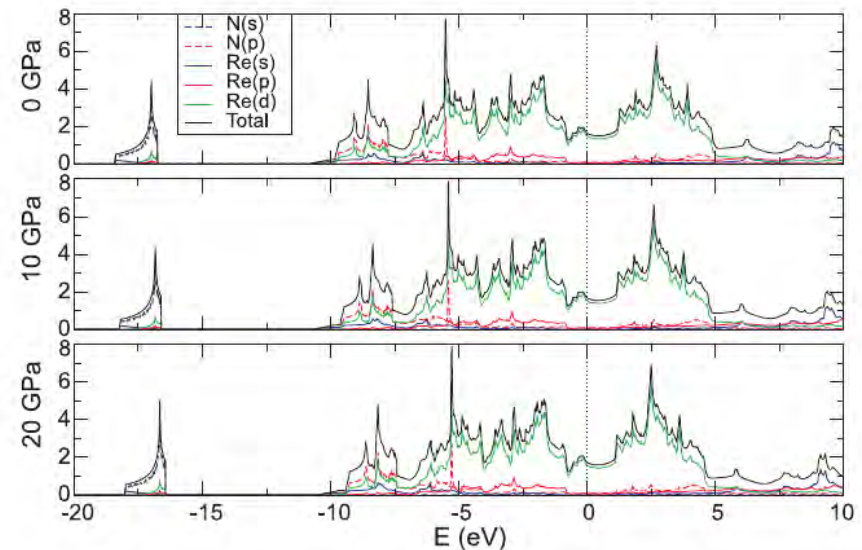
Strictly, DFT has no basis to describe excited states. However unoccupied K-S states do resemble single-electron excitations. It is predictive for some spectroscopies, ignoring band-gap error:

- UV-Vis (but not excitons)
- EELS and Xanes

But some excitations have no K-S counterpart.

- Magnons
- Excitons

and a higher level of theory treatment is required. (eg Bethe-Salpeter)



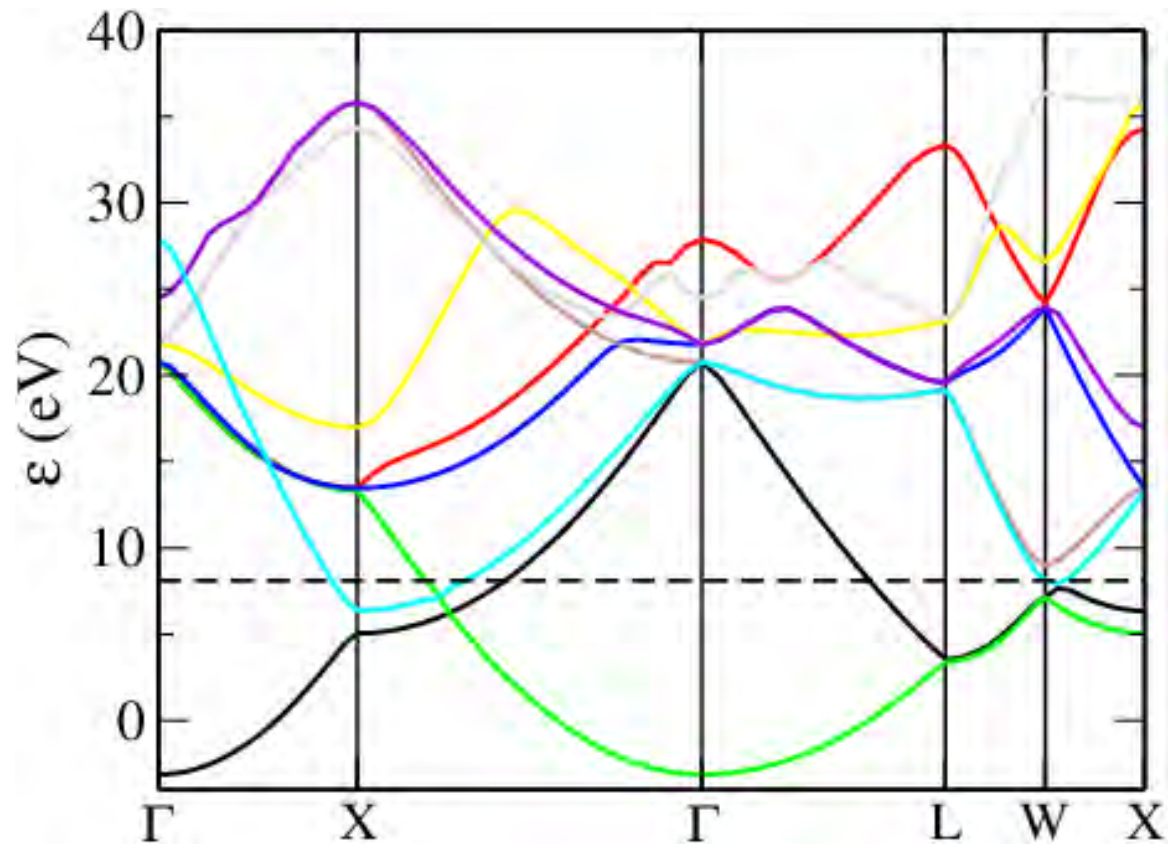


From bands to properties



# Band-theory perspective

$$\hat{H} \Psi_i = \epsilon_i \Psi_i$$



# 1980s: Total Energy Calculations

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$$E_{tot} = \langle \Psi | \hat{H} | \Psi \rangle = E_{BS} + E_{I-I} - E_{e-e}$$

## Total energy calculations in solid state physics

J Ihm†

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### Abstract

Total energy calculations for the study of atomic and electronic structures of solids are reviewed. A history of total energy calculations in solid state physics from the emergence of quantum physics to the mid 1970s is briefly summarised. Important developments in the last decade, the period during which computing capability has grown explosively all over the world, are then described. Modern computational method are discussed in detail, with emphasis on the tight-binding, quantum-chemical

# Totally Useless?

$$E_{tot} = E_{BS} + E_{I-I} - E_{e-e}$$

# Totally Useless?

$$E_{tot} = E_{BS} + E_{I-I} - E_{e-e}$$

$$P = - \frac{dE_{tot}}{dV}$$

$$F_j = - \frac{dE_{tot}}{dR_j}$$

$$\Phi_{i,j} = \frac{d^2 E_{tot}}{dR_i dR_j}$$

$$\alpha_{ij} = \frac{d^2 E_{tot}}{dE_i dE_j}$$

# Totally Useless?

$$E_{tot} = E_{BS} + E_{I-I} - E_{e-e}$$

$$P = - \frac{dE_{tot}}{dV}$$

$$F_j = - \frac{dE_{tot}}{dR_j} R_j$$

$$\Phi_{i,j} = \frac{d^2 E_{tot}}{dR_i dR_j}$$

$$\alpha_{ij} = \frac{d^2 E_{tot}}{dE_i dE_j}$$

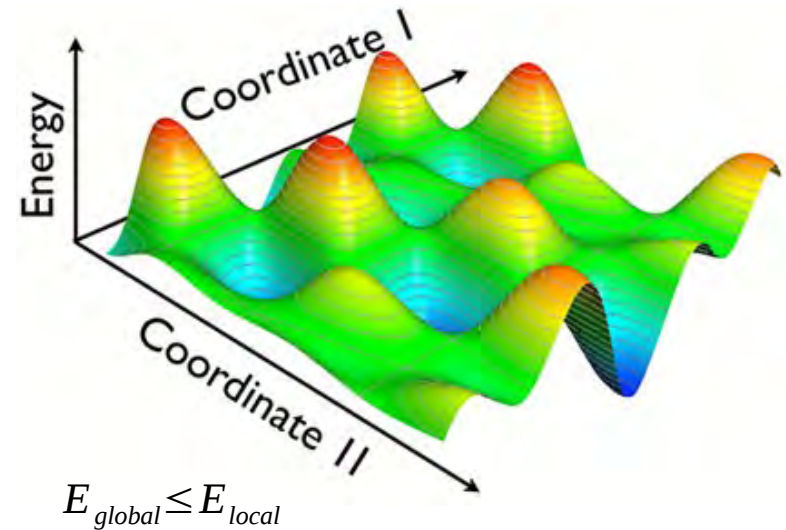
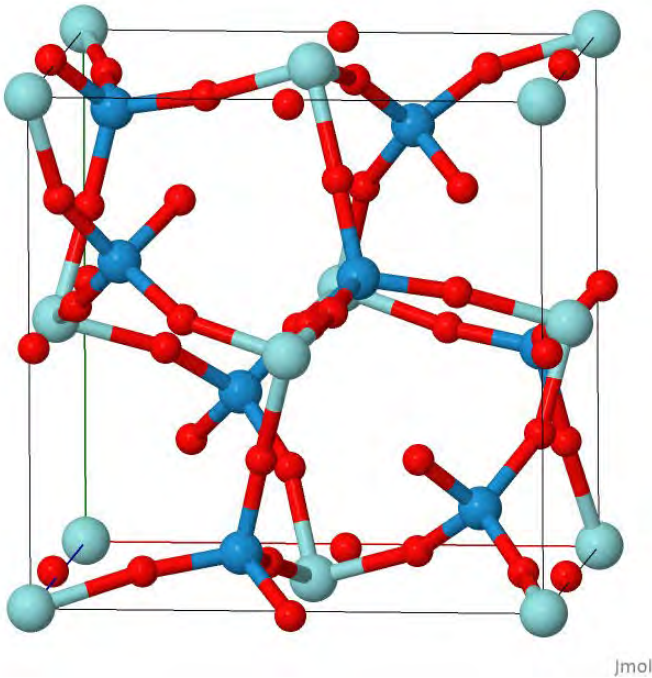
$$I_{m,i,j}^{raman} \propto \frac{d^3 E_{tot}}{dE_i dE_j dQ_m}$$

$$\chi_{i,j,k}^{(2)} \propto \frac{d^3 E_{tot}}{dE_i dE_j dE_k}$$

$$\frac{\delta \omega}{\omega} \sim \frac{d^3 E_{tot}}{dR_i dR_j dR_k}$$

# The Optimization Problem

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$$E(x_1, y_1, z_1, \dots) \leq E_0$$

*iterative downhill optimization* methods can find local minima

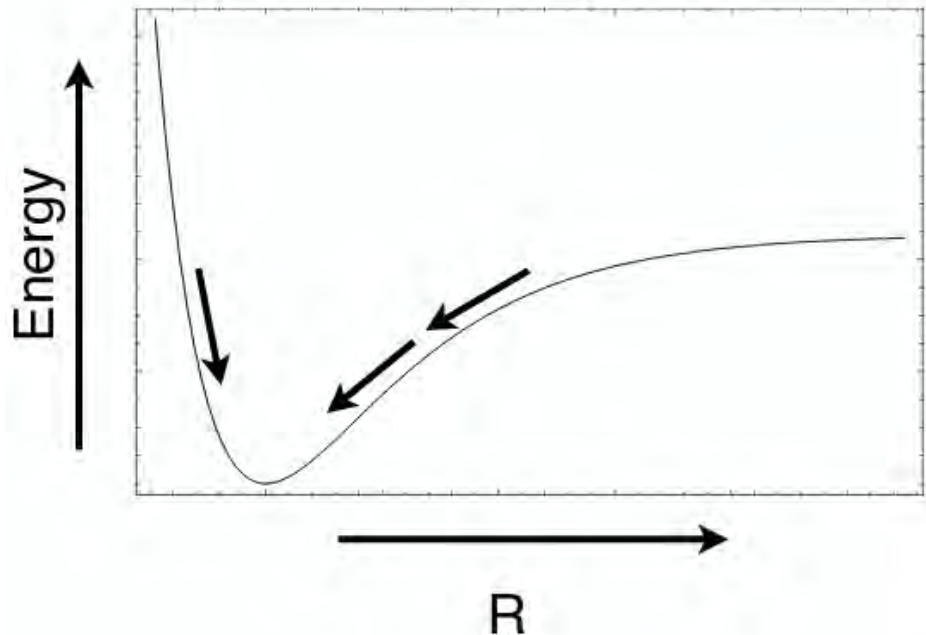
# Forces and Geometry

The Hellman-Feynman Theorem gives forces if ground state known

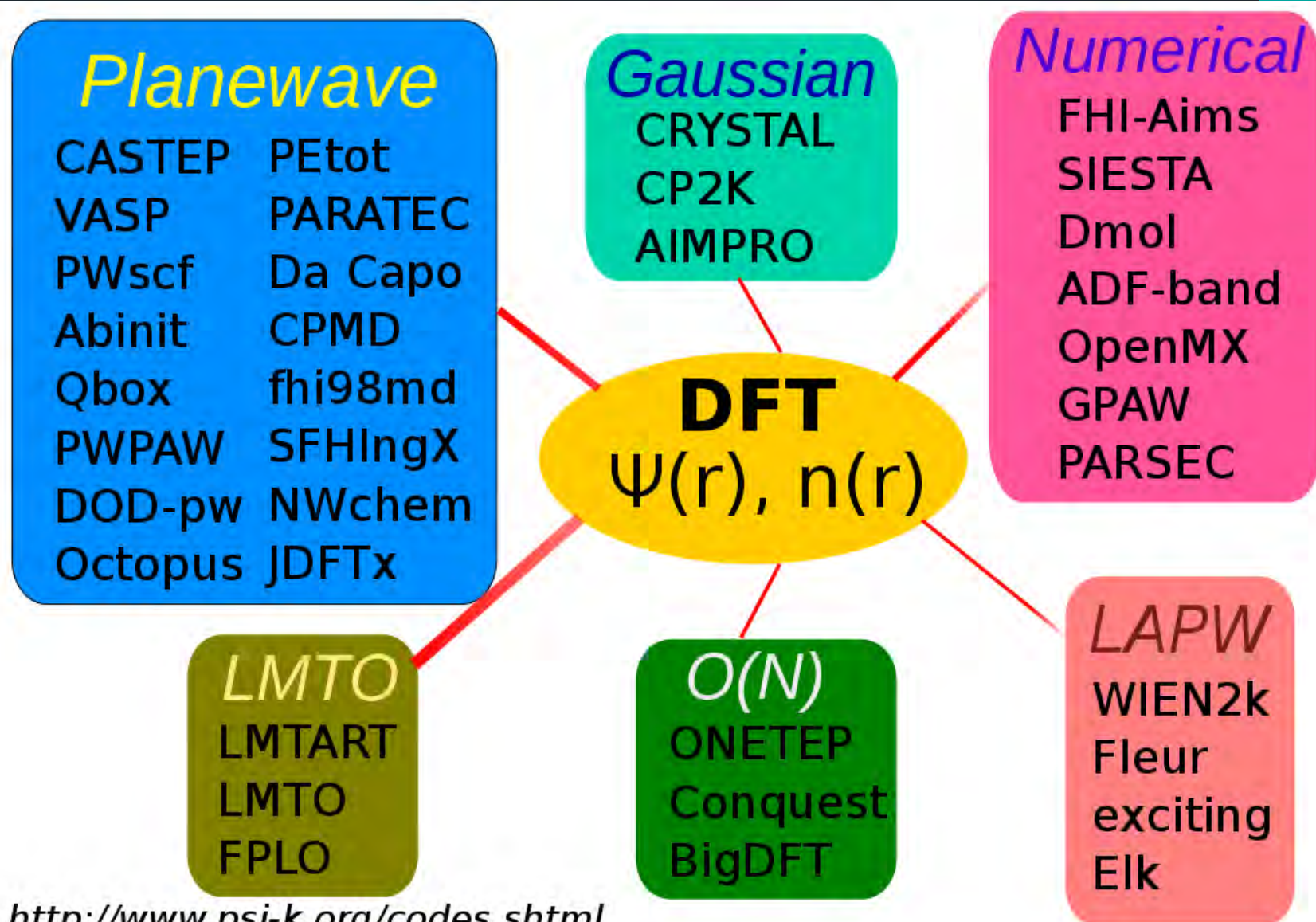
$$F_i = -\langle \Psi_0 | \frac{dE}{dx_i} | \Psi_0 \rangle$$

Can move atoms in response to computed forces.

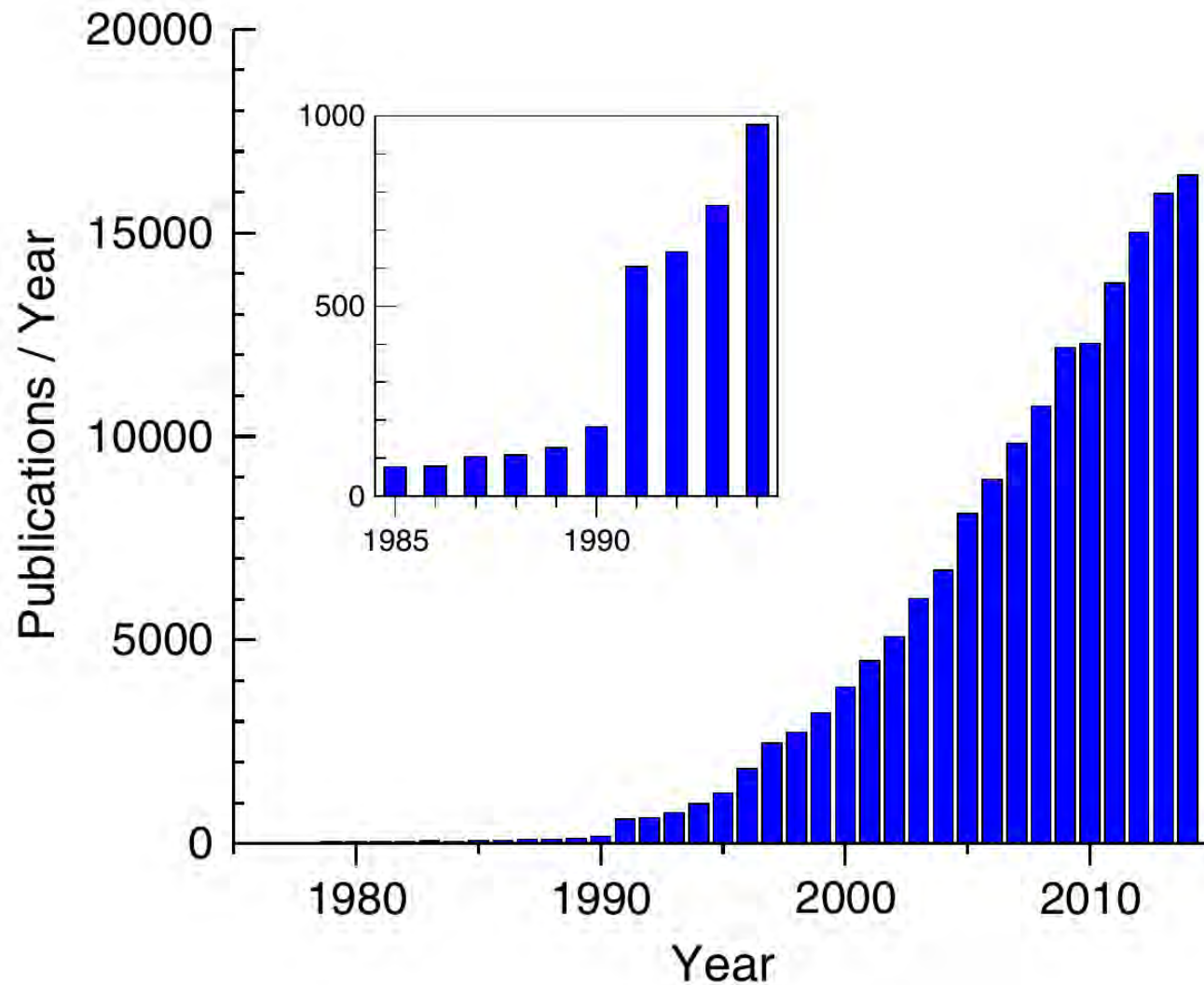
Apply machinery of optimization theory:  
E.g. quasi-Newton methods (BFGS) to find equilibrium crystal structure.

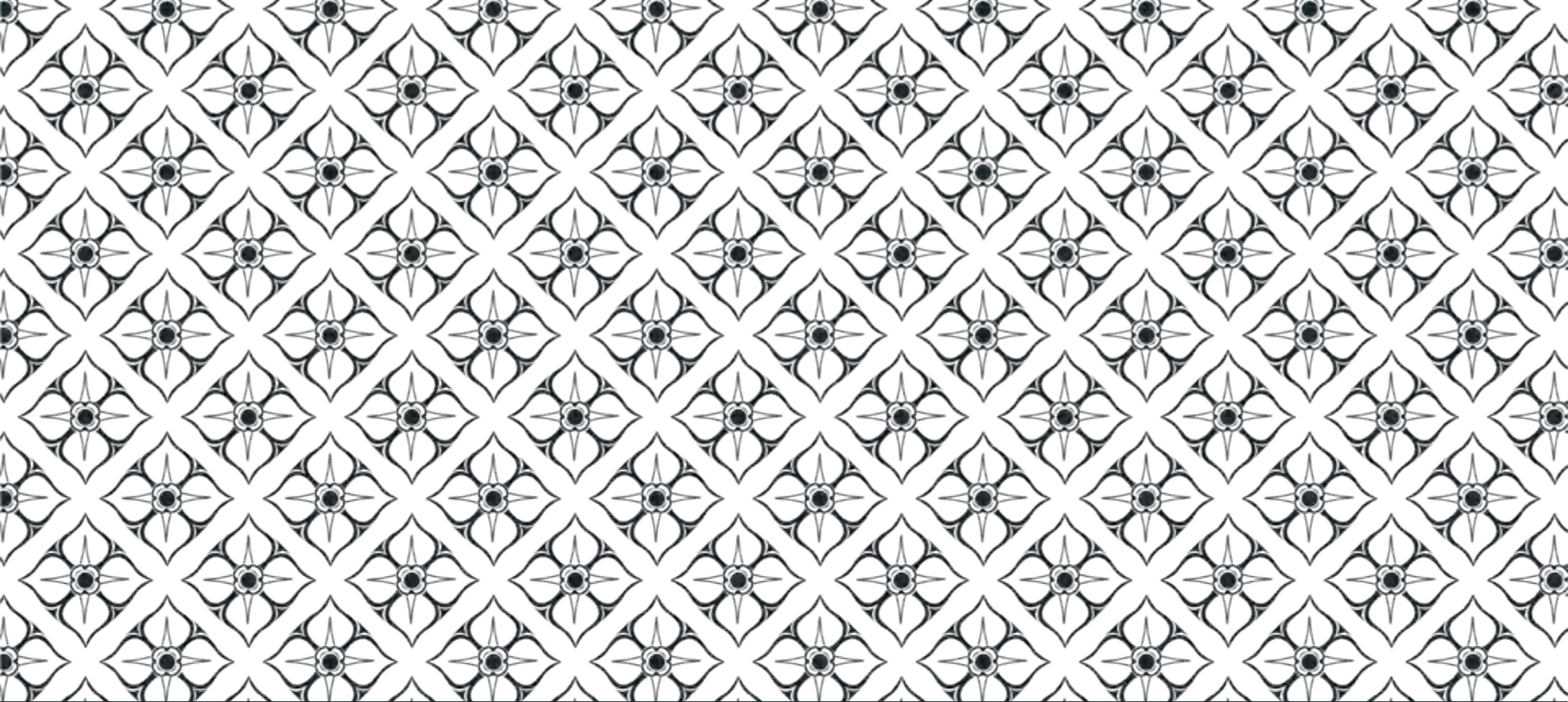


# DFT Simulation Codes



# Popularity of DFT

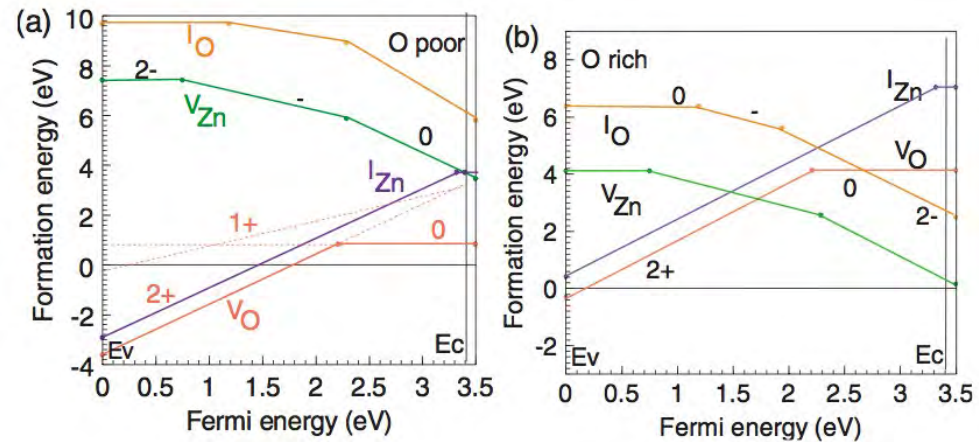
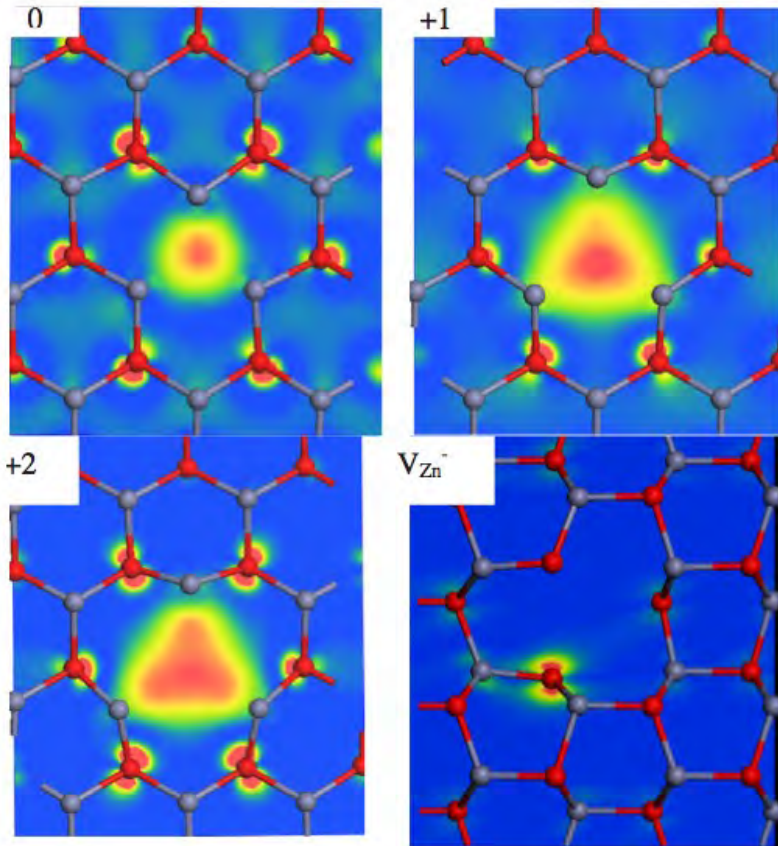




# Electronic Structure

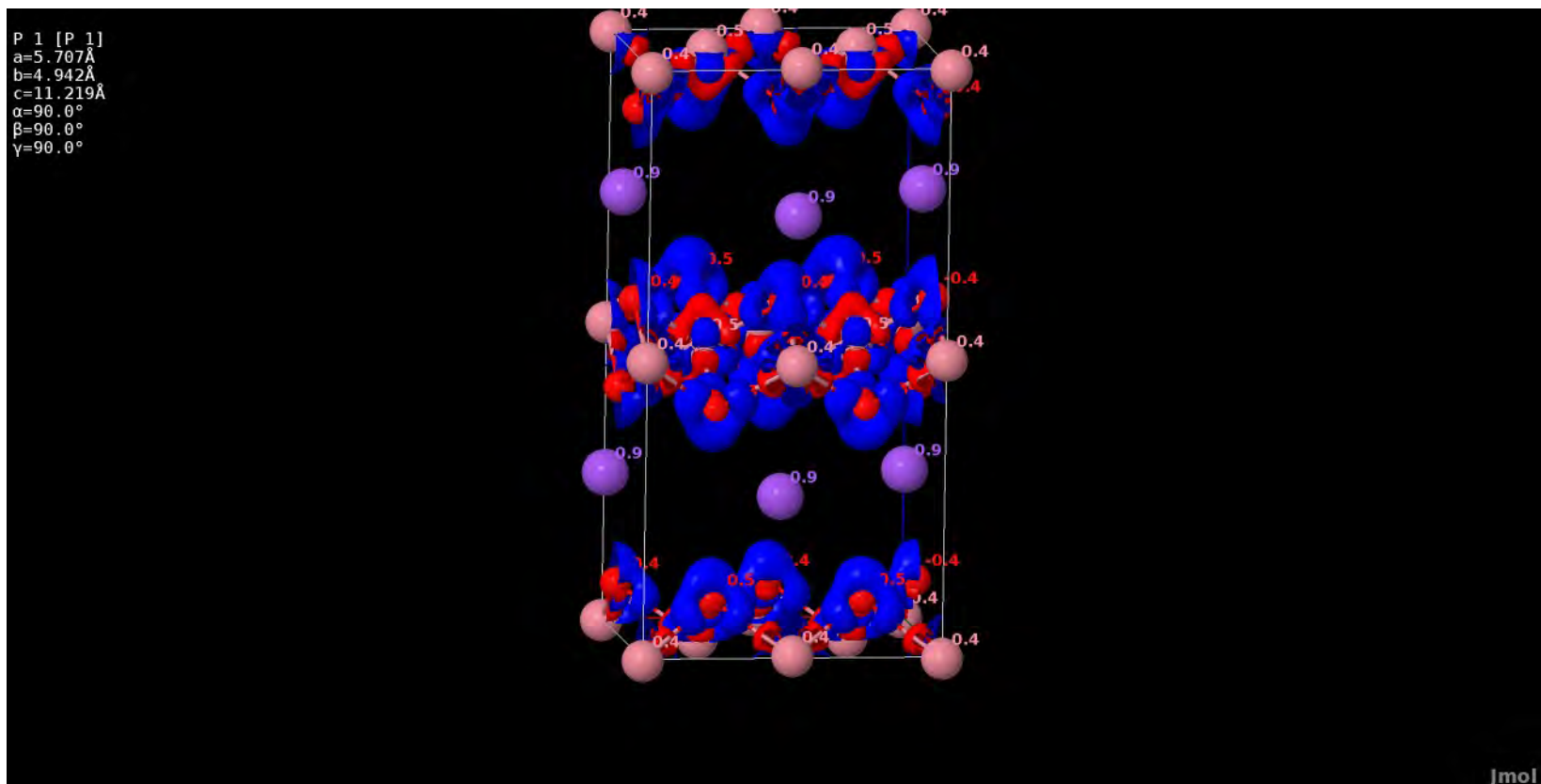


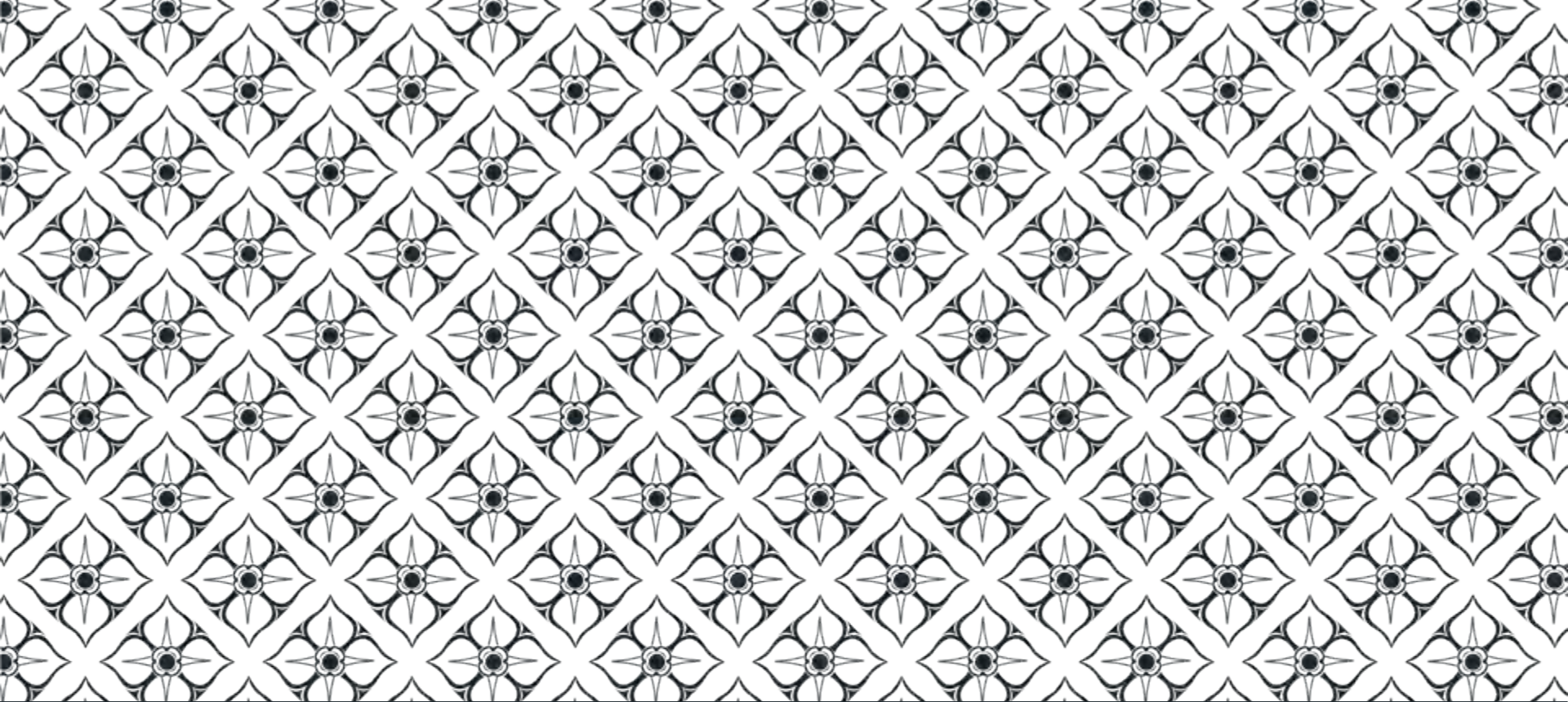
# Charged Defects in ZnO



Clark, Zunger, et al., PRB 81, 115311 (2010)

# Charge ordering (with DFT+U)

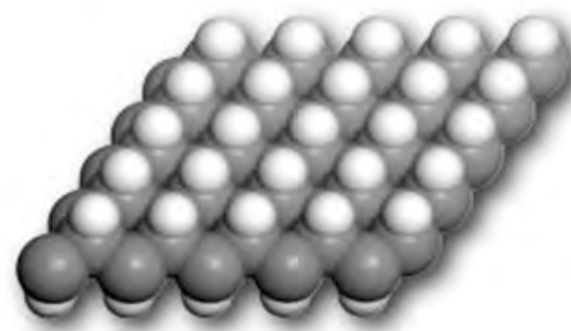
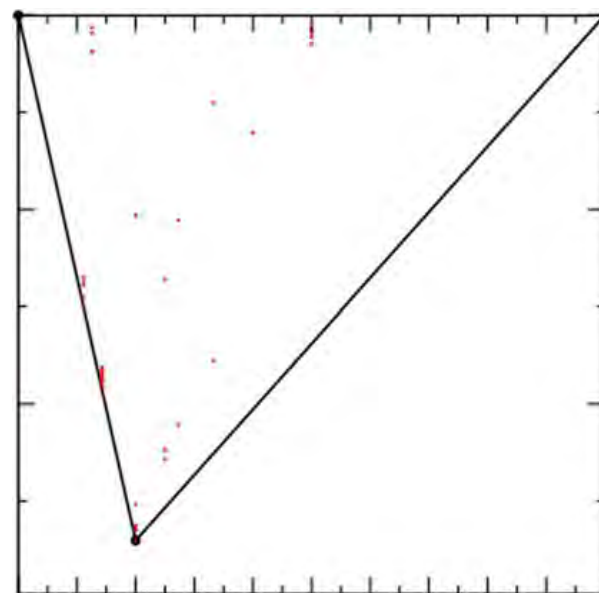




# Crystal Structure

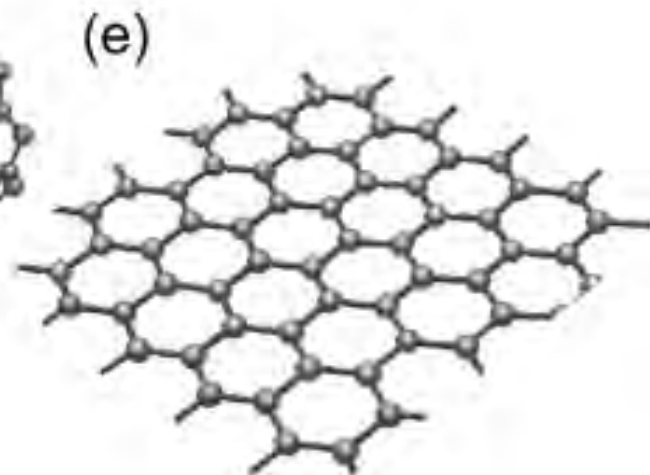
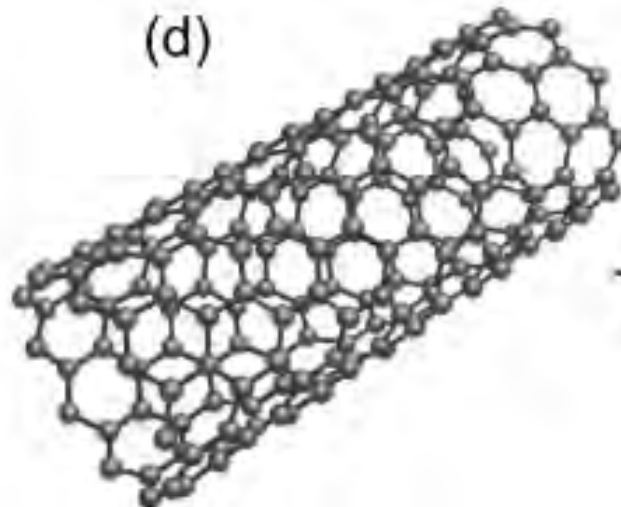
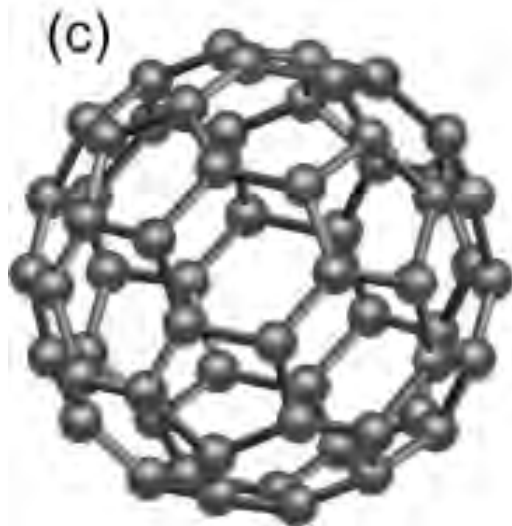
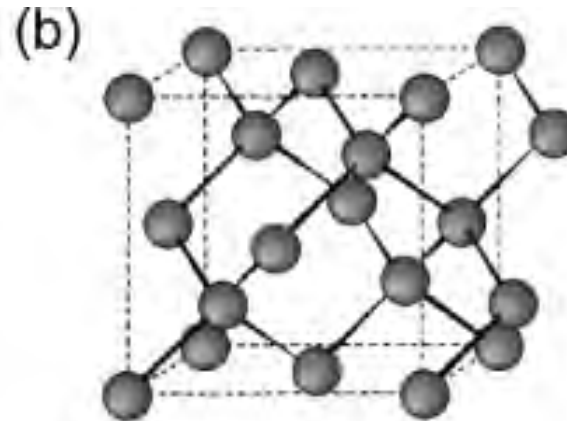
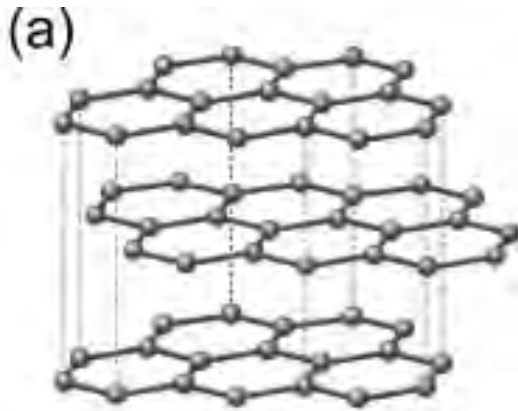


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graphane

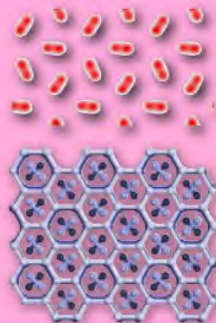
# Predicting Structure



# AIRSS - A tool for discovery

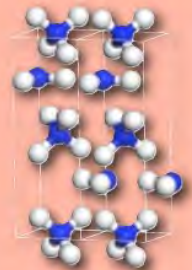
**Hydrogen is  
polar and  
“graphene”**

Nature Physics, 2007  
Physical Review B, 2012



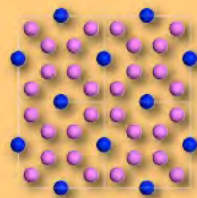
**Ammonia is ionic**

Nature Materials, 2008



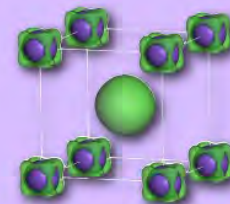
**Aluminium is  
complicated**

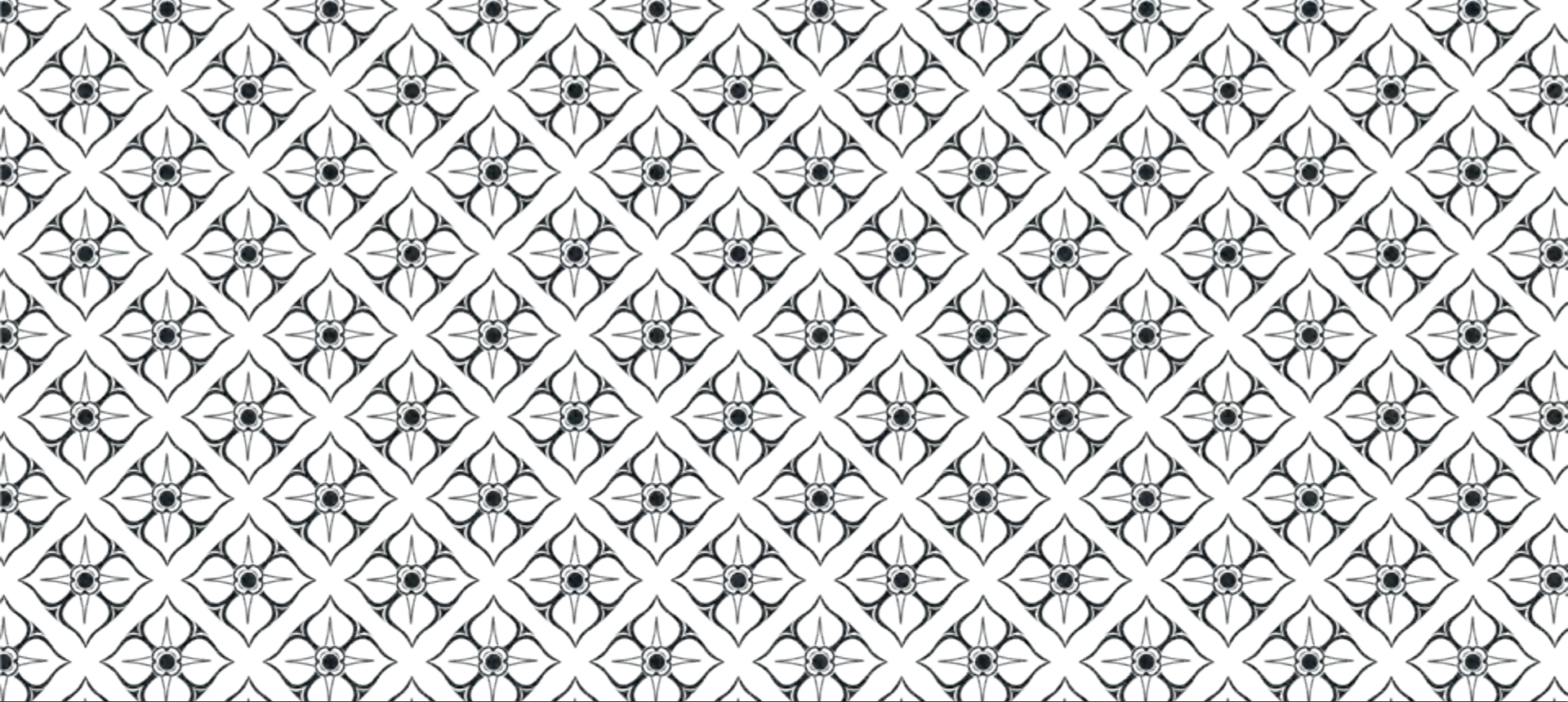
Nature Materials, 2010



**Magnetic  
potassium**

Physical Review Letters, 2011





# Molecular Dynamics



# Dynamics of nuclei

With forces calculated from DFT

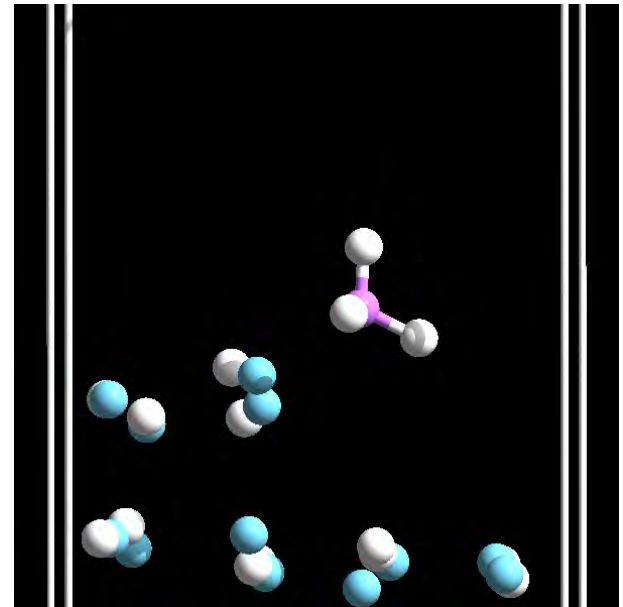
Can also calculate **dynamics**:

- Molecular dynamics – time evolution
- Lattice dynamics - spectroscopy

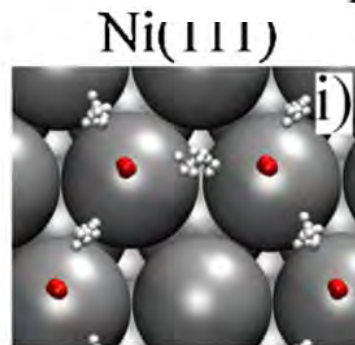
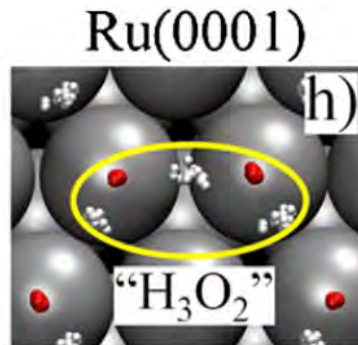
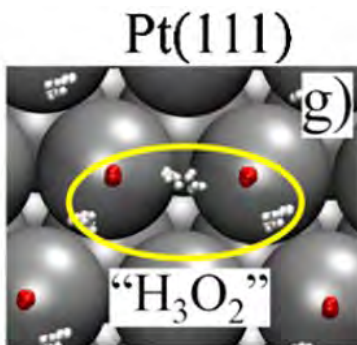
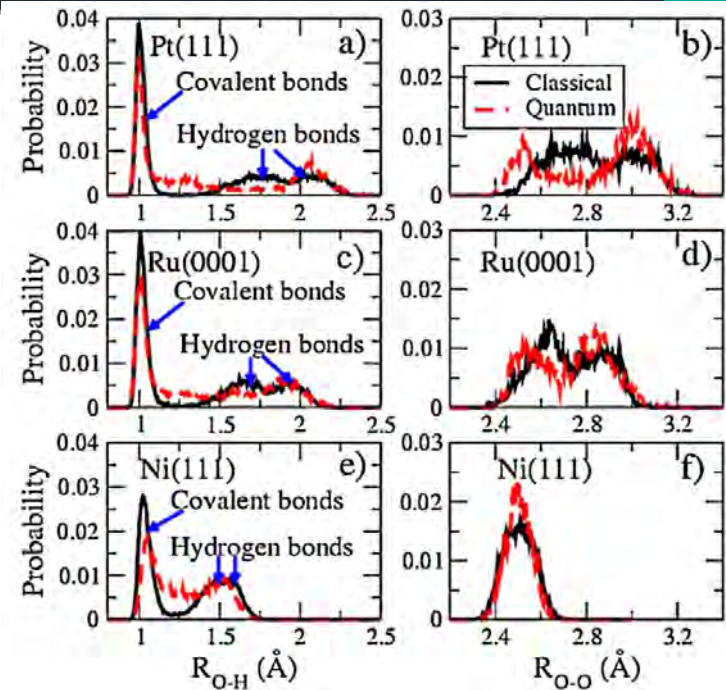
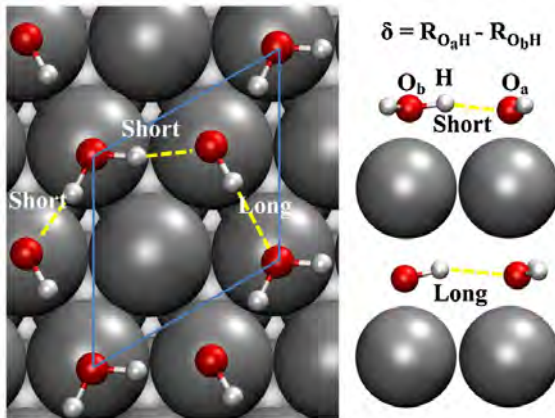
$$r(t + \delta t) = r(t) + v(t)\delta t + \frac{1}{2}a(t)\delta t^2$$

$$v(t + \delta t) = v(t) + \frac{1}{2}[a(t) + a(t + \delta t)]\delta t$$

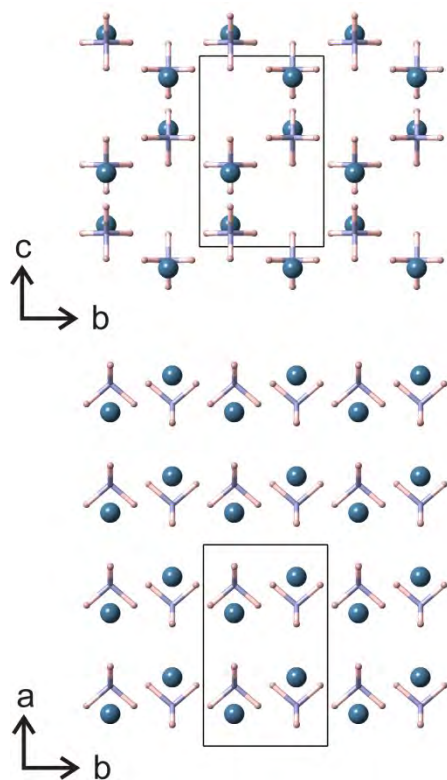
$$a(t + \delta t) = \frac{1}{m}F(t + \delta t)$$



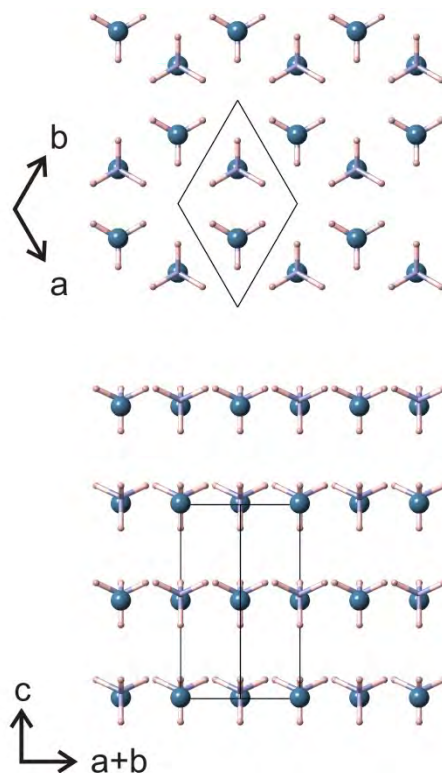
# Water on metal Surfaces



# Fast-ion conduction in $\text{LiBH}_4$



< 390 K  
Orthorhombic (Pnma)



> 390 K  
Hexagonal (P63/mmc)  
Disordered  
Superionic conductivity

> 560 K: liquid  
> 650 K: decomposition

# A little knowledge?

## unsuccessful attempts to model high-temperature phase by optimisation and lattice dynamics:

- Miwa, K. et al., 2004. First-principles study on lithium borohydride  $\text{LiBH}_4$ . Physical Review B, 69(24), 245120.  
*"The finite temperature effects are probably crucial to study the structural properties in this phase."*
- Tekin, A. et al., 2010. First-Principles Determination of the Ground-State Structure of  $\text{LiBH}_4$ . PRL, 104(21), p.215501.
- Łodziana, Z. & Vegge, T., 2004. Structural Stability of Complex Hydrides:  $\text{LiBH}_4$  Revisited. PRL, 93(14), p.145501.

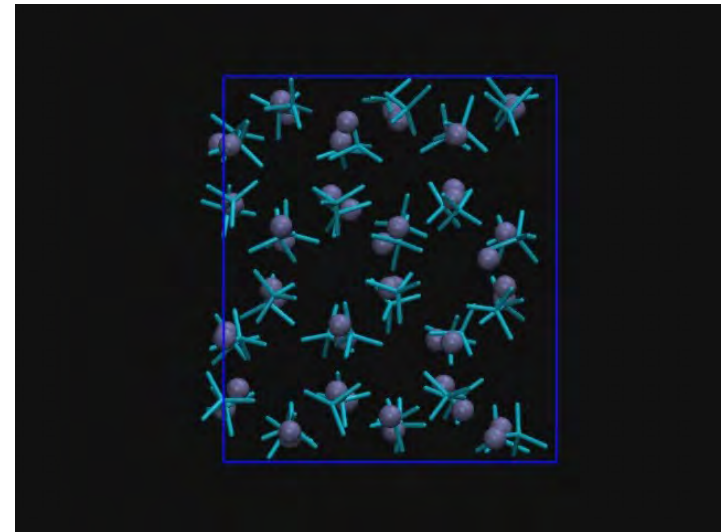
*"At finite temperatures a stable crystalline structure requires all phonon frequencies to be positive definite:  $\omega^2 > 0$ . ... a significant part of the phonon spectrum for the P63mc phase is imaginary—which means that this structure is unstable at  $T > 0\text{K}$ . This surprising result, considering the experimental predictions ... "*

- Łodziana, Z. & Vegge, T., 2006. Łodziana and Vegge Reply: Physical Review Letters, 97(11), p.119602.

*"A system which is unstable within the harmonic approach can be stabilized by entropy, in which case it must possess more than dynamical disorder."*

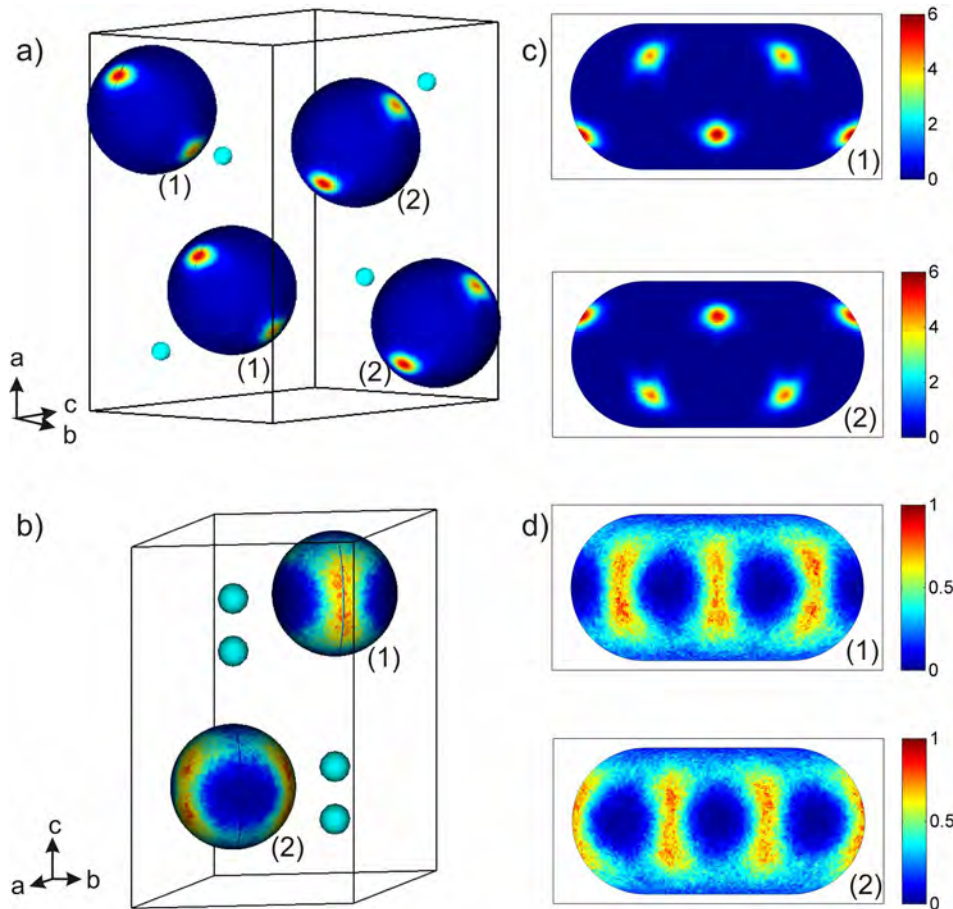
# AIMD – computational details

- Code: CP2K (out-of-the-box)
- Born-Oppenheimer molecular dynamics in isokinetic ensemble (Gaussian thermostat)
- Forces evaluated by DFT using the QUICKSTEP method
- Supercell: 288 atoms (48 formula units)
- Time step: 0.5 fs
- Run lengths 20-30 ps after equilibration
- PBE exchange-correlation functional
- Dual basis set (Gaussian DZ orbitals & plane waves up to 280 Ry) and Goedecker pseudopotentials are used



# Equilibrium MD picture

BH4 rotational disorder:



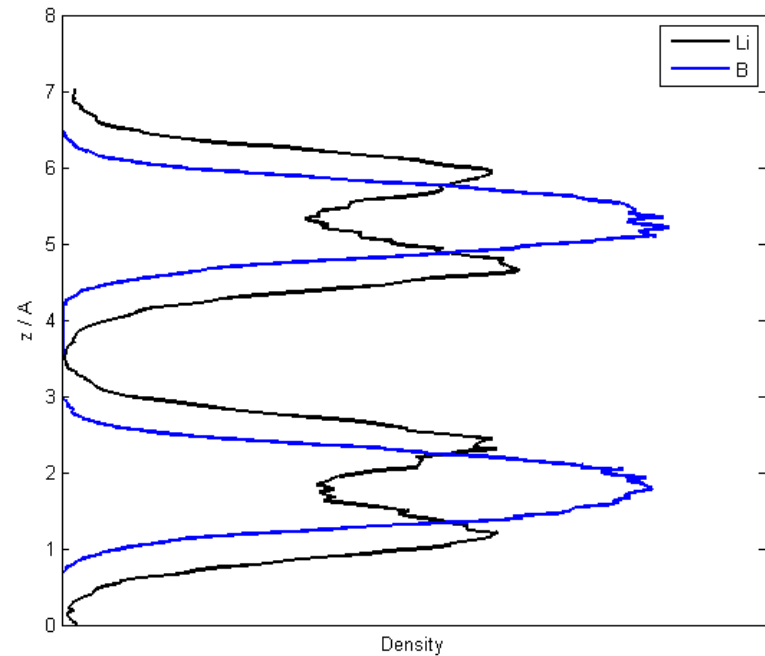
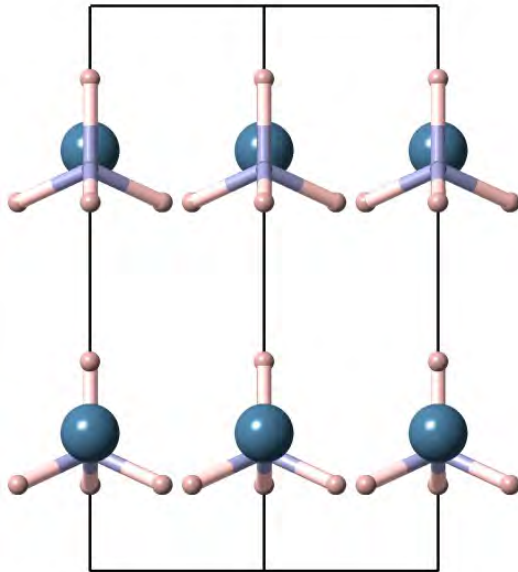
298K

473K

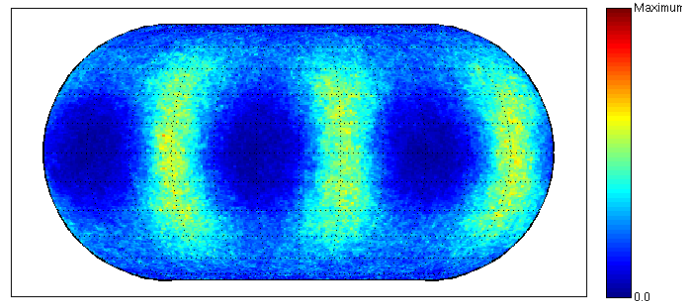
# Equilibrium MD picture

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Li dynamical disorder:



BH<sub>4</sub> rotational disorder:



# The Nature of $\text{BH}_4^-$ Reorientations in Hexagonal $\text{LiBH}_4$

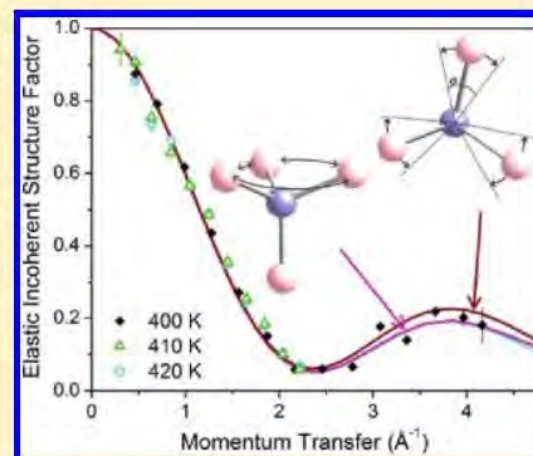
Nina Verdal,<sup>\*,†</sup> Terrence J. Udovic,<sup>†</sup> and John J. Rush<sup>†,‡</sup>

<sup>†</sup>NIST Center for Neutron Research, National Institute of Standards and Technology, 100 Bureau Dr., MS 6102, Gaithersburg, Maryland 20899-6102, United States

<sup>‡</sup>Department of Materials Science and Engineering, University of Maryland, 2135 Chemical & Nuclear Engineering Bldg., College Park, Maryland 20742-2115, United States

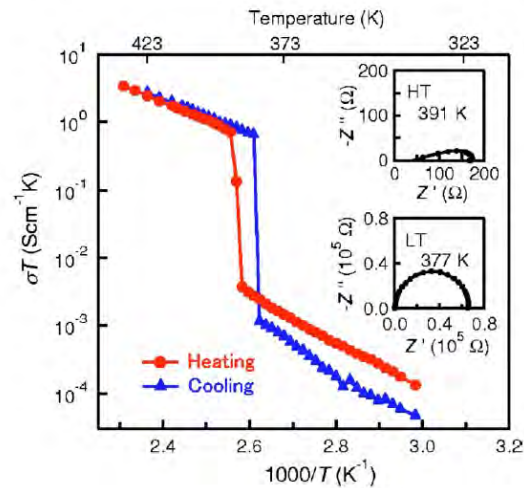
**S** Supporting Information

**ABSTRACT:** Lithium borohydride ( $\text{LiBH}_4$ ) has lately been the subject of intense inquiry within the hydrogen storage community. Quasi-elastic neutron scattering spectra were measured for  $\text{LiBH}_4$  in the high-temperature hexagonal crystal phase. The elastic incoherent structure factor associated with the rapid  $\text{BH}_4^-$  anion reorientations was determined at 400, 410, and 420 K for momentum transfers as high as  $4.2 \text{ \AA}^{-1}$ . The results strongly suggest a  $\text{BH}_4^-$  reorientational mechanism approaching quasi-free, trigonal-axis rotation of three borohydride H atoms, combined with reorientational jump exchanges between these delocalized “orbiting” H atoms and the remaining axial borohydride H atom. This mechanism is consistent with previously reported diffraction and spectroscopy studies.

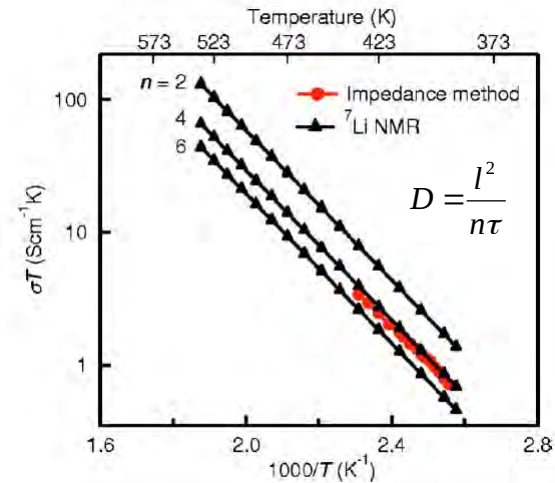


# Measurements of Li mobility

Motoaki Matsuo et al., Applied Physics Letters **91**, 224103 (2007).



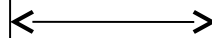
A/C impedance measurements



Li-7 NMR measurements

At 535K:

$$\sigma = 0.139 \text{ S/cm}$$



$$D_{\text{Li}} = 2.28 \cdot 10^{-6} \text{ cm}^2/\text{s}$$

# Calculating diffusion by AIMD

Diffusion coefficient calculated by...

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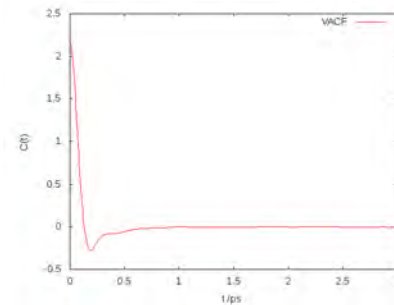
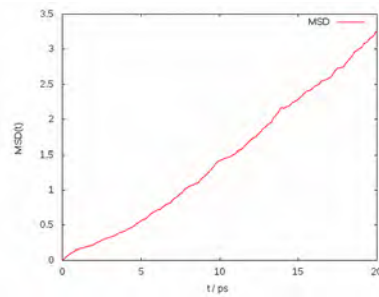
Einstein-Sutherland equation

$$D = \frac{1}{n} \frac{d\langle r^2(t) \rangle}{dt}$$

Green-Kubo formula

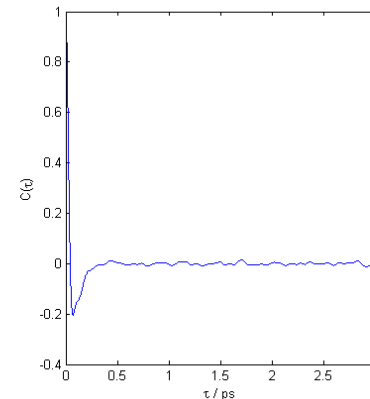
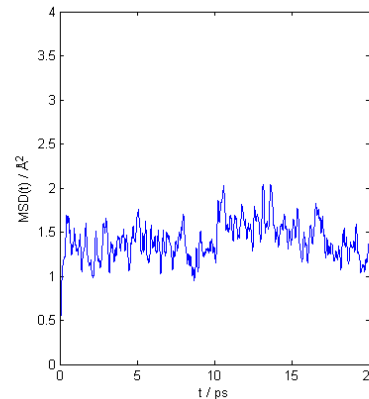
$$D = \frac{2}{n} \int_0^\infty dt \langle v(0) \cdot v(t) \rangle$$

Lennard-Jonesium  
(mimicking liquid Argon)



Fast diffusion

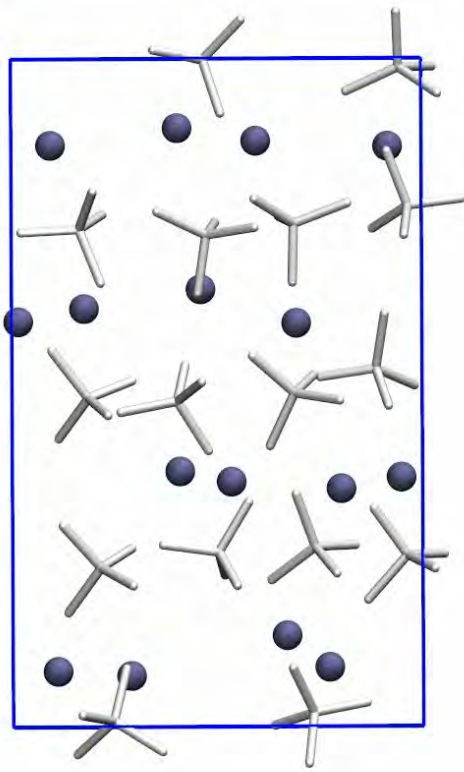
LiBH4 at 535 K



Diffusion by ion jumps  
(rare events)  
which are often  
followed by a jump back  
to the original position

Limits of AIMD: diffusion in fluids with  $D > 10^{-5} \text{ cm}^2/\text{s}$

# Nonequilibrium Molecular Dynamics



- An external field  $\mathbf{F}_e$  is applied that couples to a fictitious atomic property (“colour”,  $c_i$ ):

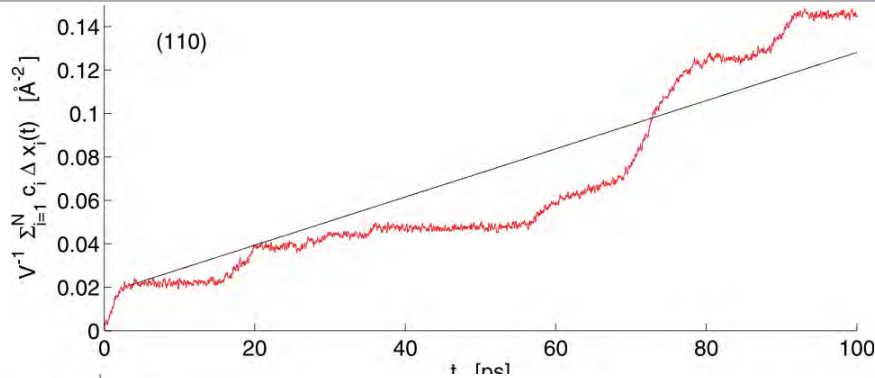
$$\dot{\mathbf{p}}_i = \mathbf{F}_i + c_i \mathbf{F}_e$$

- The (fictitious) field and its induced response are related by (real) transport coefficients:

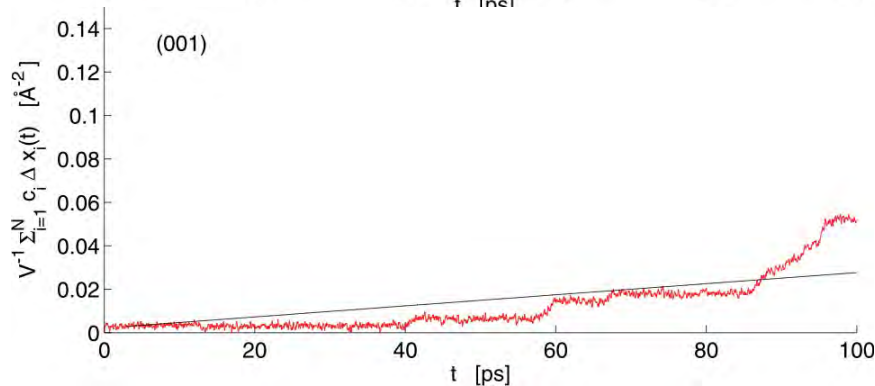
$$D = \frac{k_B T}{\rho_c} \lim_{t \rightarrow \infty} \lim_{F_e \rightarrow 0} \frac{\langle J_c(t) \rangle}{F_e}$$

- NEMD functionality implemented in CASTEP and CP2K
- *ab initio* nature of the method allows mechanism discovery

# Results – $F_e = 0.05 \text{ eV/\AA}$

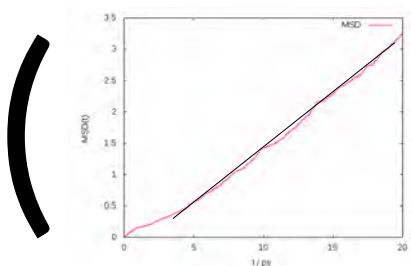


$$D_{\text{Li}} = 5.82 \cdot 10^{-6} \text{ cm}^2/\text{s}$$



$$D_{\text{Li}} = 1.34 \cdot 10^{-6} \text{ cm}^2/\text{s}$$

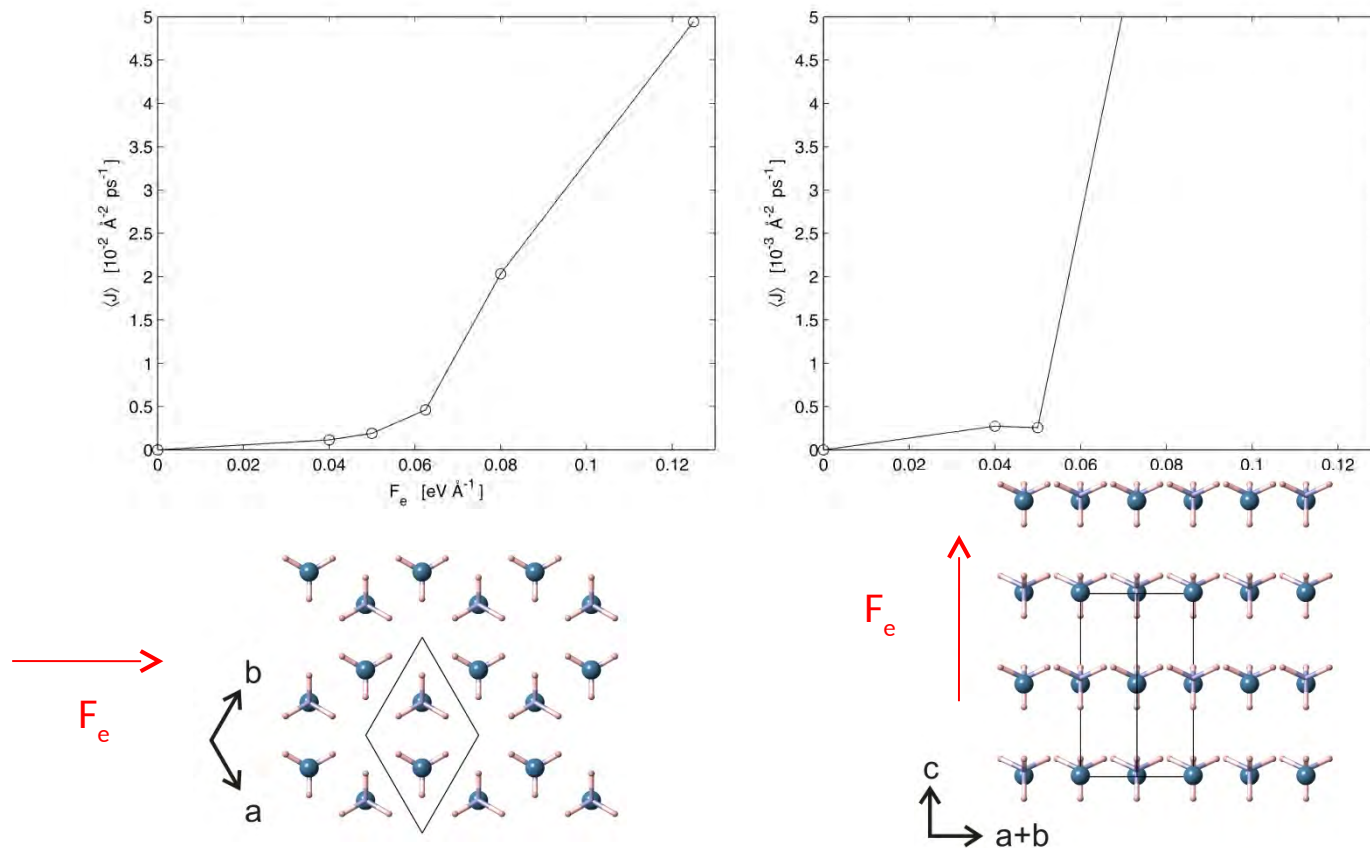
(Measured:  $D_{\text{Li}} = 2.28 \cdot 10^{-6} \text{ cm}^2/\text{s}$ )



Compare:

$$D = \frac{1}{n} \frac{d\langle r^2(t) \rangle}{dt} \quad \text{vs} \quad D = \frac{k_B T}{\rho_c} \lim_{t \rightarrow \infty} \lim_{F_e \rightarrow 0} \frac{\langle J_c(t) \rangle}{F_e}$$

# linear response domain



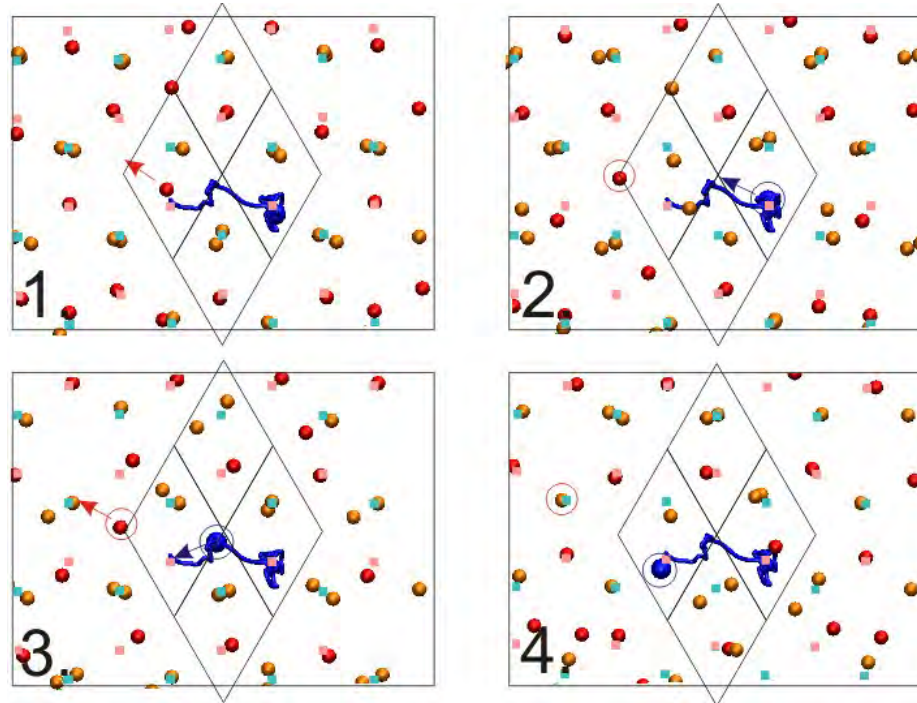
Maximum field strength in linear regime:  $0.05 \text{ eV/\AA}$

$$D(110)/(001) = 5.8 \times 10^{-6} / 1.3 \times 10^{-6} \text{ cm}^2 \text{ s}^{-1}$$

$$D(\text{expt, avg.}) = 2.3 \times 10^{-6} \text{ cm}^2 \text{ s}^{-1}$$

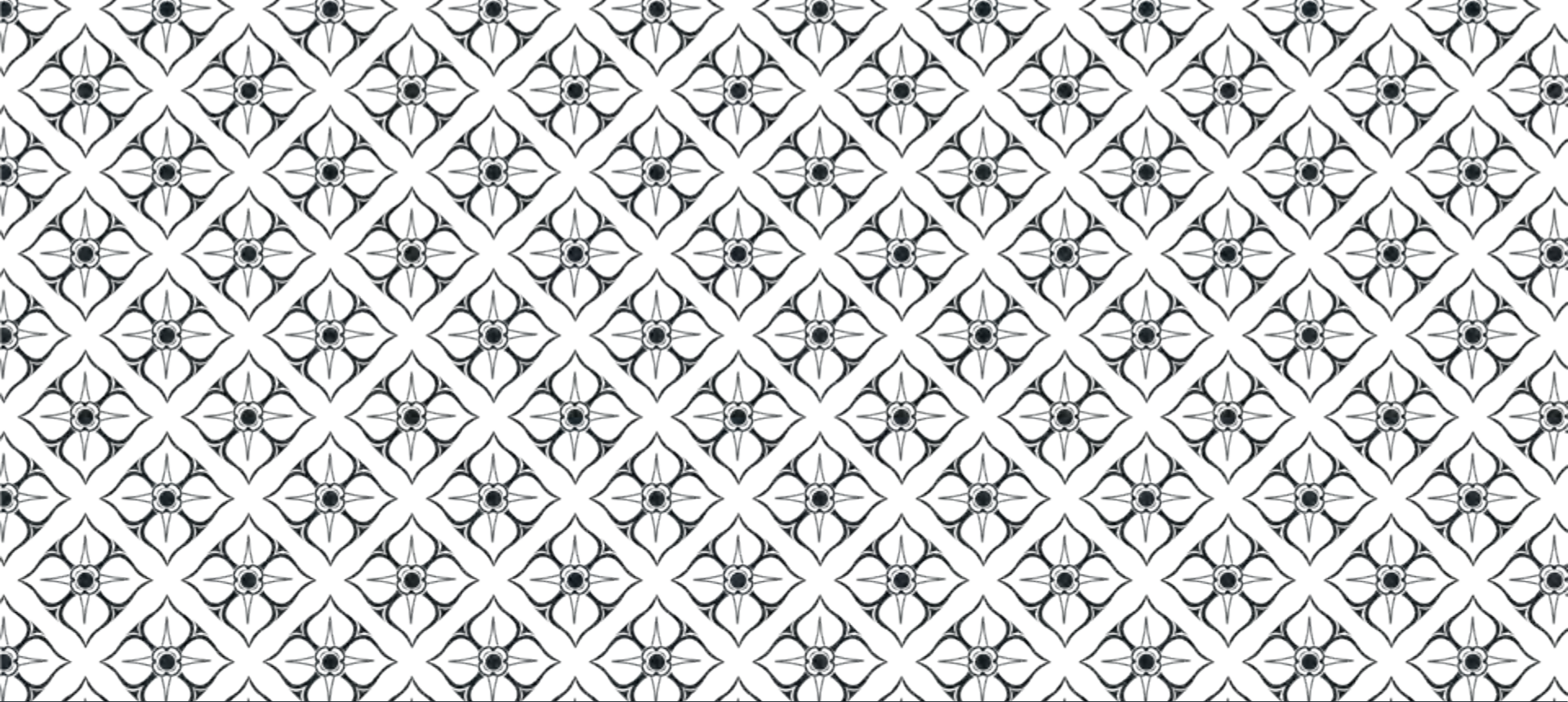
# Diffusion Pathway

Inspection of the NEMD trajectory:



hopping is via jumps from a lattice site into an empty interstitial site (2 & 3),  
and from there on to another lattice site (4).

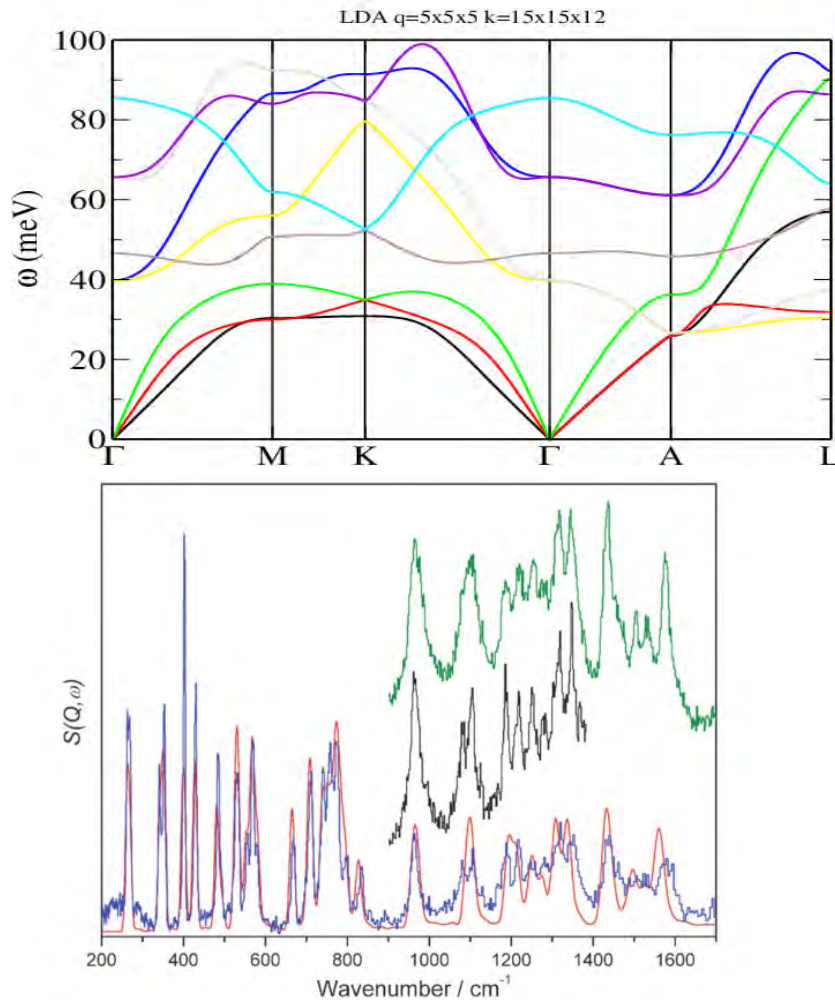
P.C. Aeberhard, S. Williams, D. Evans, K. Refson, and W.I.F. David, Physical Review Letters 108, 095901 (2012).



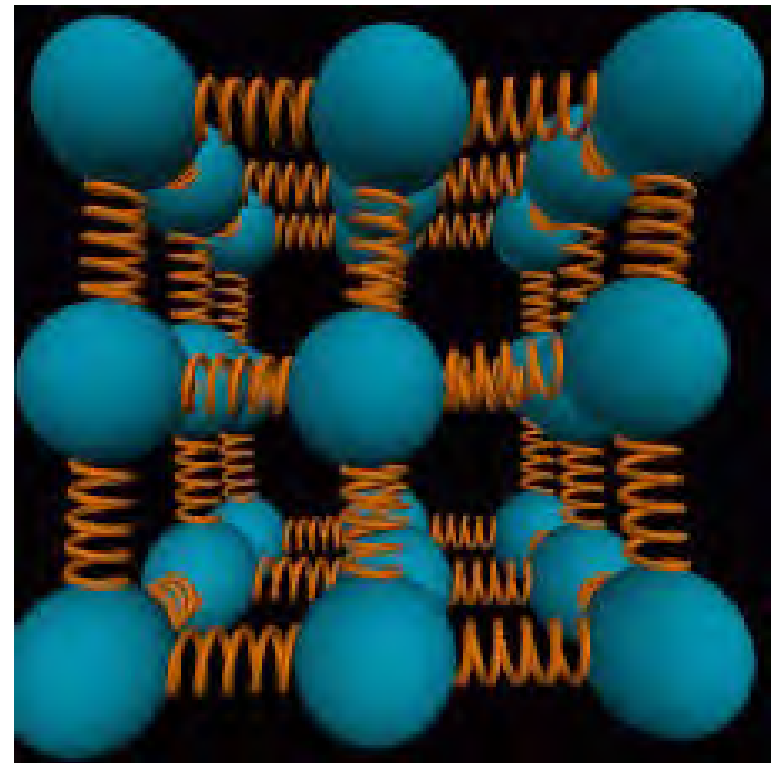
# Vibrations, Phonons and Spectroscopy



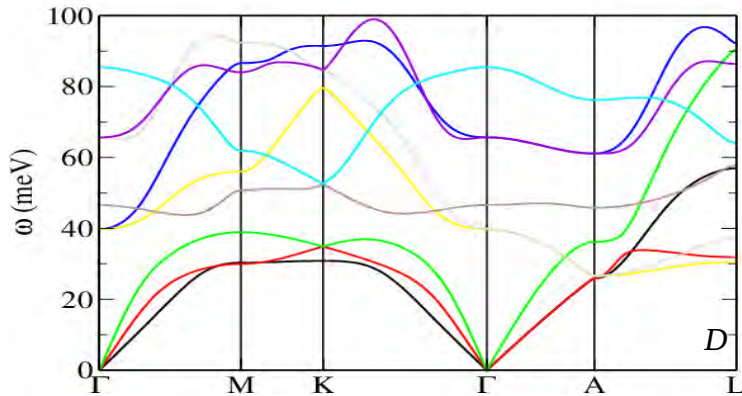
# Vibrational Spectroscopy



$$\Phi_{\kappa'\alpha'}^{\kappa\alpha}(\mathbf{0}, \mathbf{R}) = \frac{\partial^2 E}{\partial r_{\kappa\alpha} \partial r_{\kappa'\alpha'}}$$



# Vibrational Spectroscopy

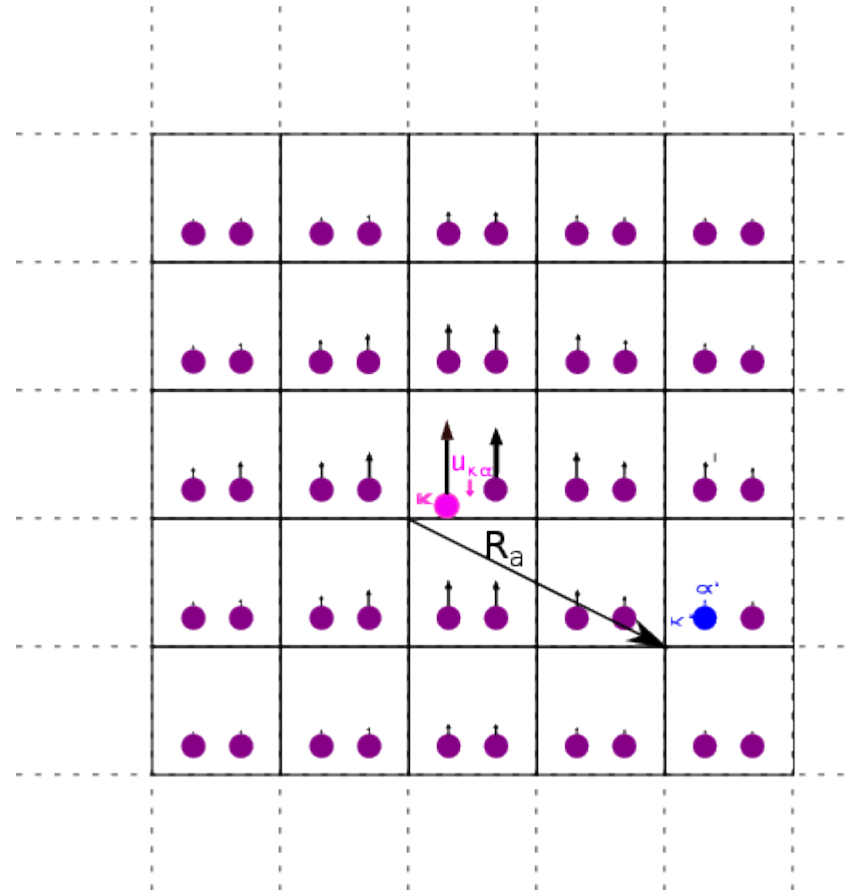


Lattice dynamics from first principles

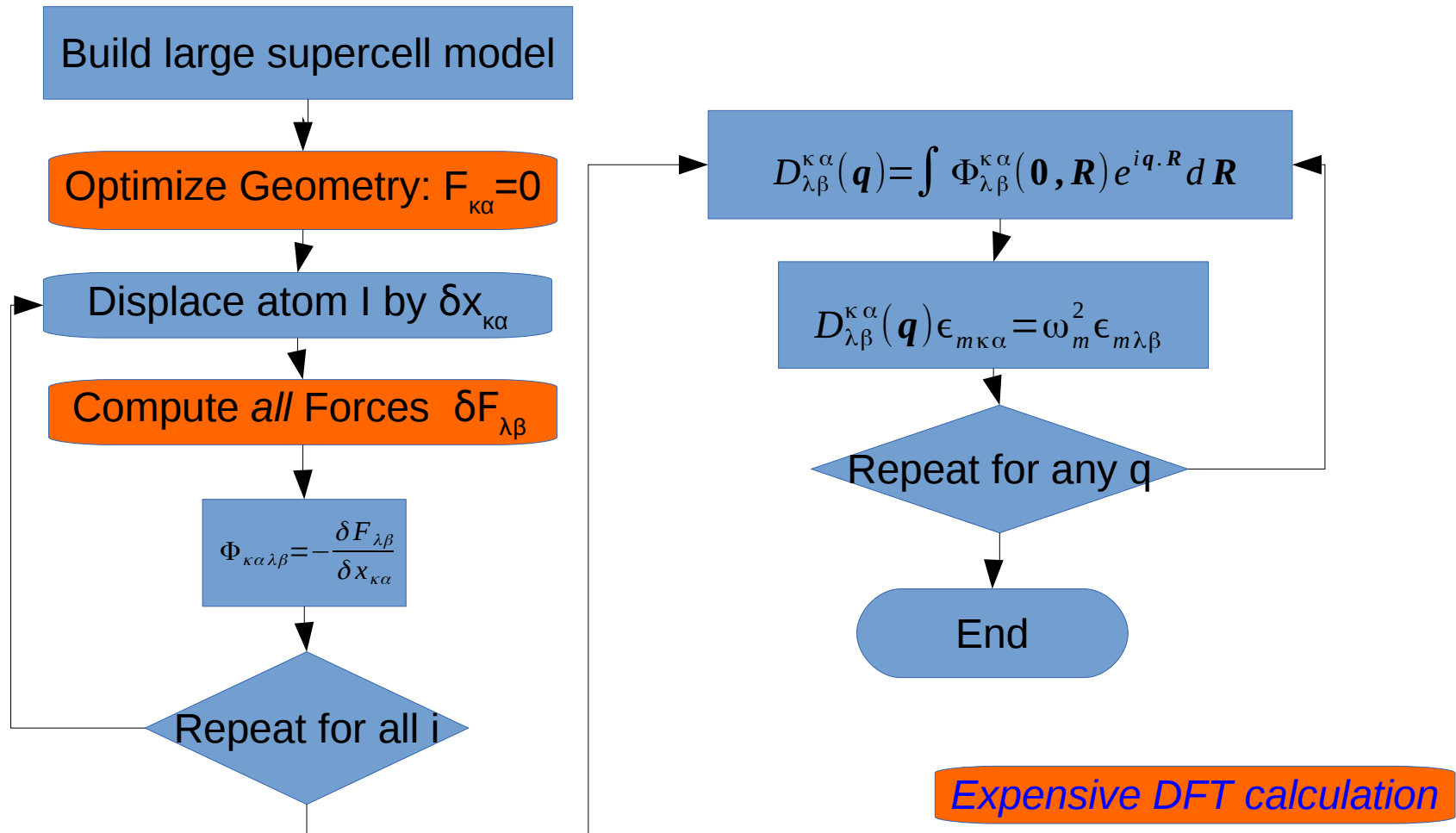
$$D_{\kappa'\alpha'}^{\kappa\alpha}(\mathbf{q}) = \int \Phi_{\kappa'\alpha'}^{\kappa\alpha}(\mathbf{0}, \mathbf{R}) e^{i\mathbf{q} \cdot \mathbf{R}} d\mathbf{R}$$

$$D_{\kappa'\alpha'}^{\kappa\alpha}(\mathbf{q}) \epsilon_{m\kappa\alpha} = \omega_m^2 \epsilon_{m\kappa\alpha}$$

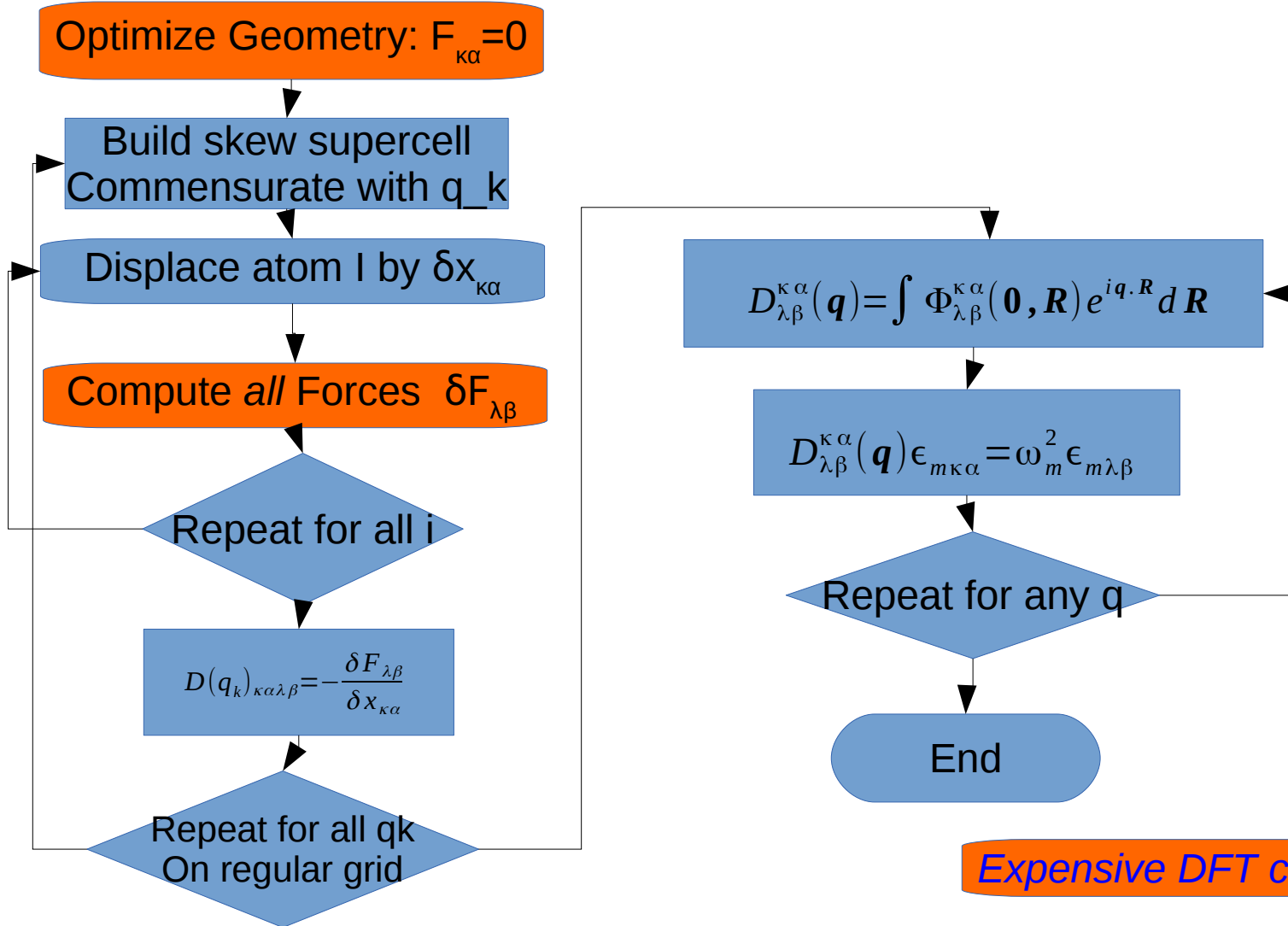
$$\Phi_{\kappa'\alpha'}^{\kappa\alpha}(\mathbf{0}, \mathbf{R}) = \frac{\partial^2 E}{\partial r_{\kappa\alpha} \partial r_{\kappa'\alpha'}}$$



# The Supercell Method



# The Nondiagonal Supercell Method



# Modelling the spectrum

## Rotationally averaged infrared absorptivity

$$I_m = \left| \sum_{\kappa, b} \frac{1}{\sqrt{(M_\kappa)}} Z_{\kappa, a, b}^* u_{m, \kappa, b} \right|^2$$

## Raman cross section

$$I_{\text{raman}}^m \propto |\mathbf{e}_i \cdot \mathbf{A}^m \cdot \mathbf{e}_s|^2 \frac{1}{\omega_m} \left( \frac{1}{\exp(\hbar \omega_m / k_B T) - 1} + 1 \right)$$

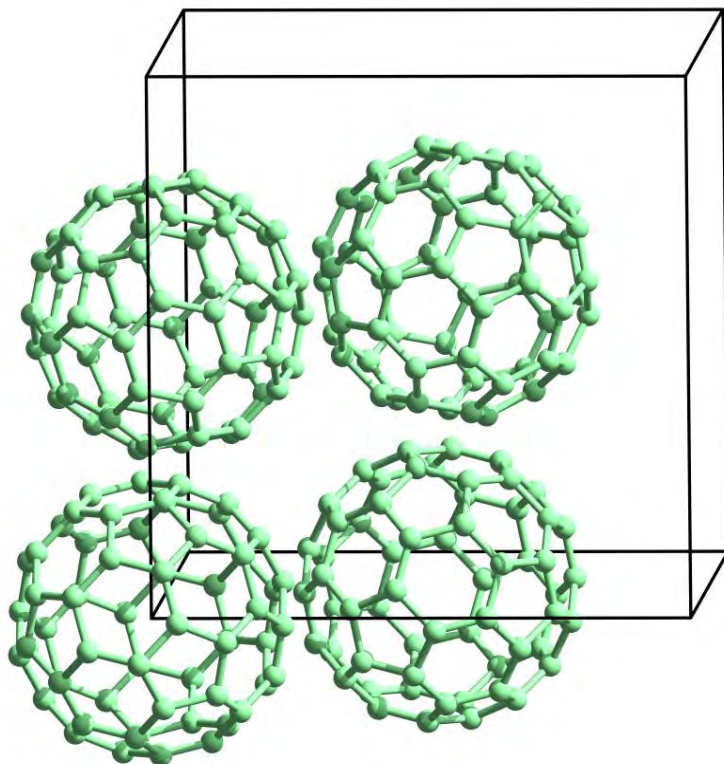
$$A_{\alpha, \beta}^m = \sum_{\kappa, \gamma} \frac{\partial^3 E}{\partial \epsilon_\alpha \partial \epsilon_\beta \partial u_{\kappa, \gamma}} u_{m, \kappa, \gamma} = \sum_{\kappa, \gamma} \frac{\partial \epsilon_{\alpha \beta}}{\partial u_{\kappa, \gamma}} u_{m, \kappa, \gamma}$$

## Inelastic neutron cross section

$$S^n(\omega_m) = \int d\mathbf{Q} \sum_{\kappa} \sigma_{\kappa} \left\langle \frac{(Q \cdot u_{m, \kappa})^{2n}}{n!} \exp(-(Q \cdot u_{m, \kappa})^2) \right\rangle$$

Spectral response to light depends on response of **electrons**; for neutrons only **nuclei**.

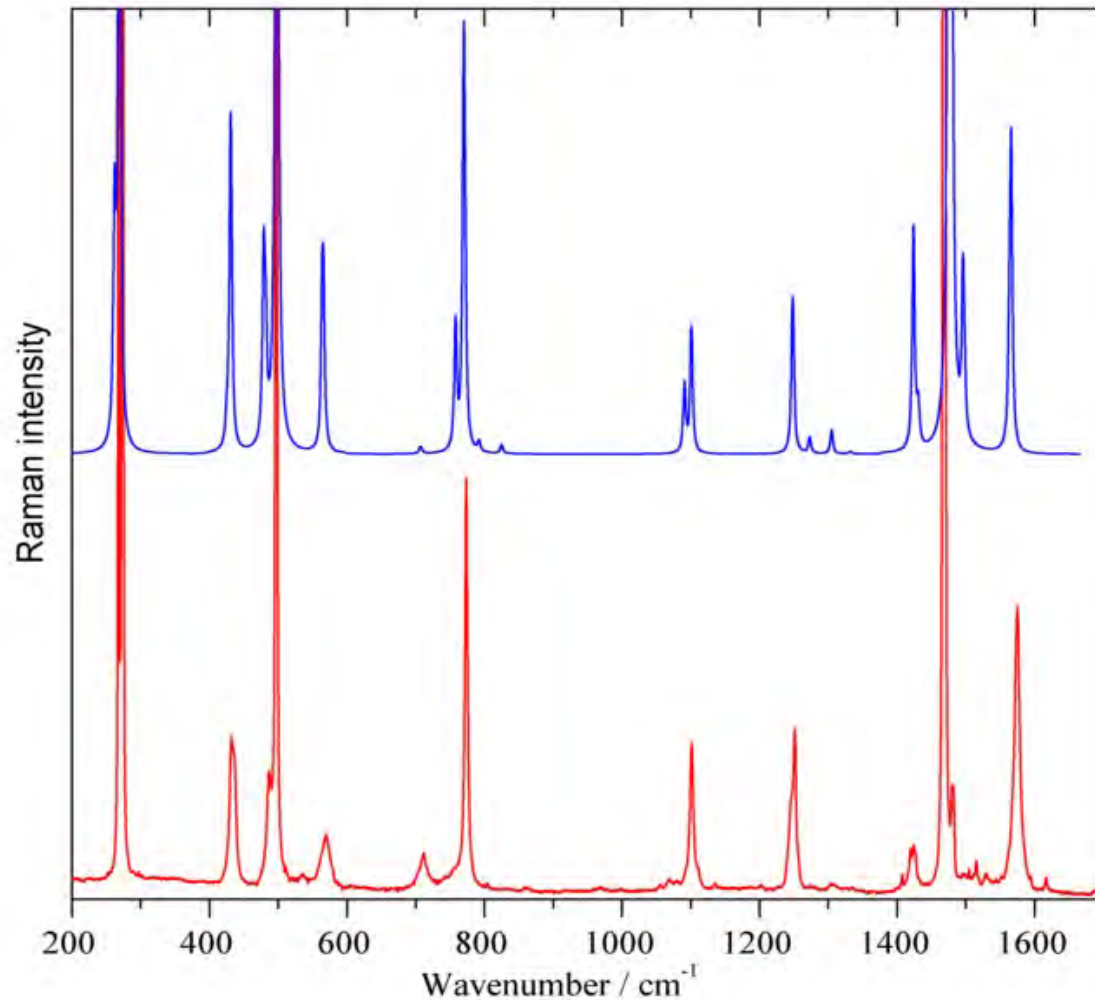
# Vibrational spectroscopy of C<sub>60</sub>



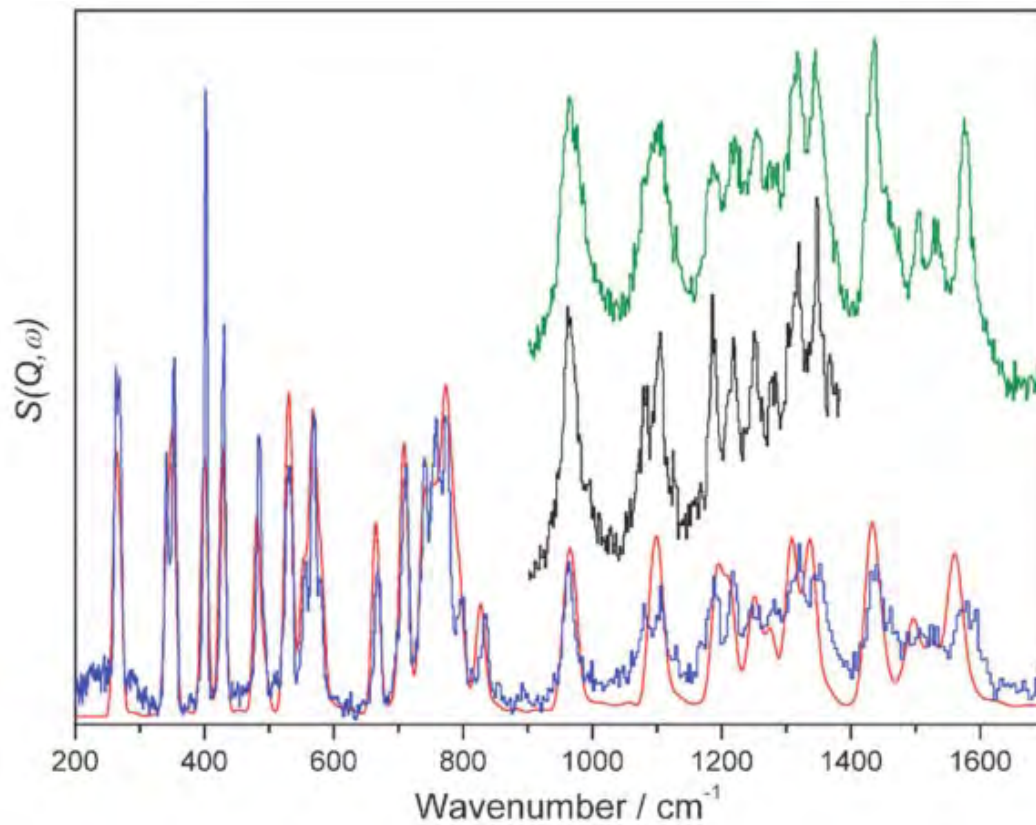
- Above 260K takes Fm3m structure with dynamical orientational disorder
- m3m point group lower than  $I_h$  molecular symmetry
- Selection rules very different from gas-phase.
- Intramolecular modes and factor group splitting seen.
- Try ordered Fm3 model for crystal spectral calculation.

Parker et al, PCCP **13**, 7780 (2011)

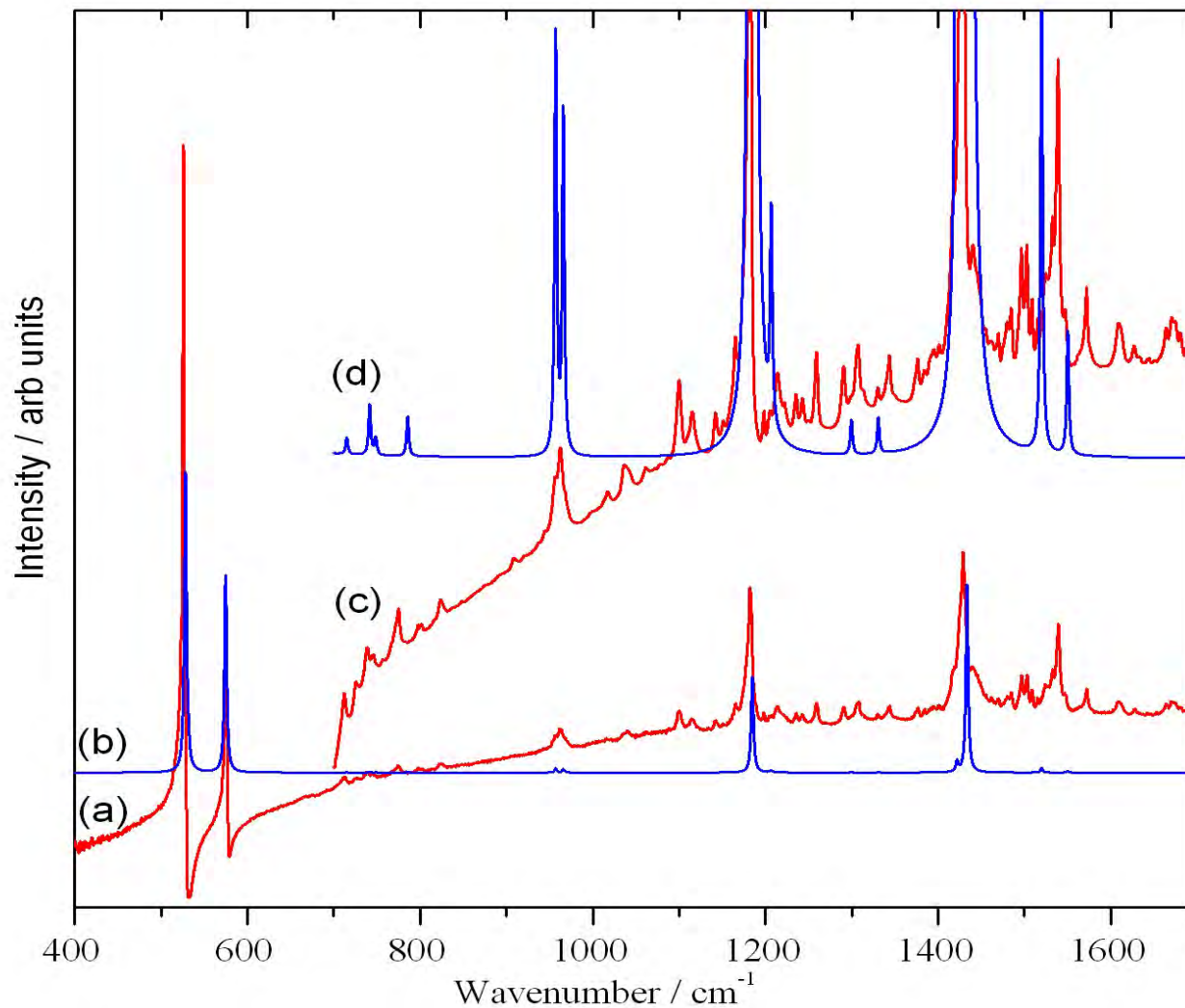
# GGA Raman spectrum of C<sub>60</sub>

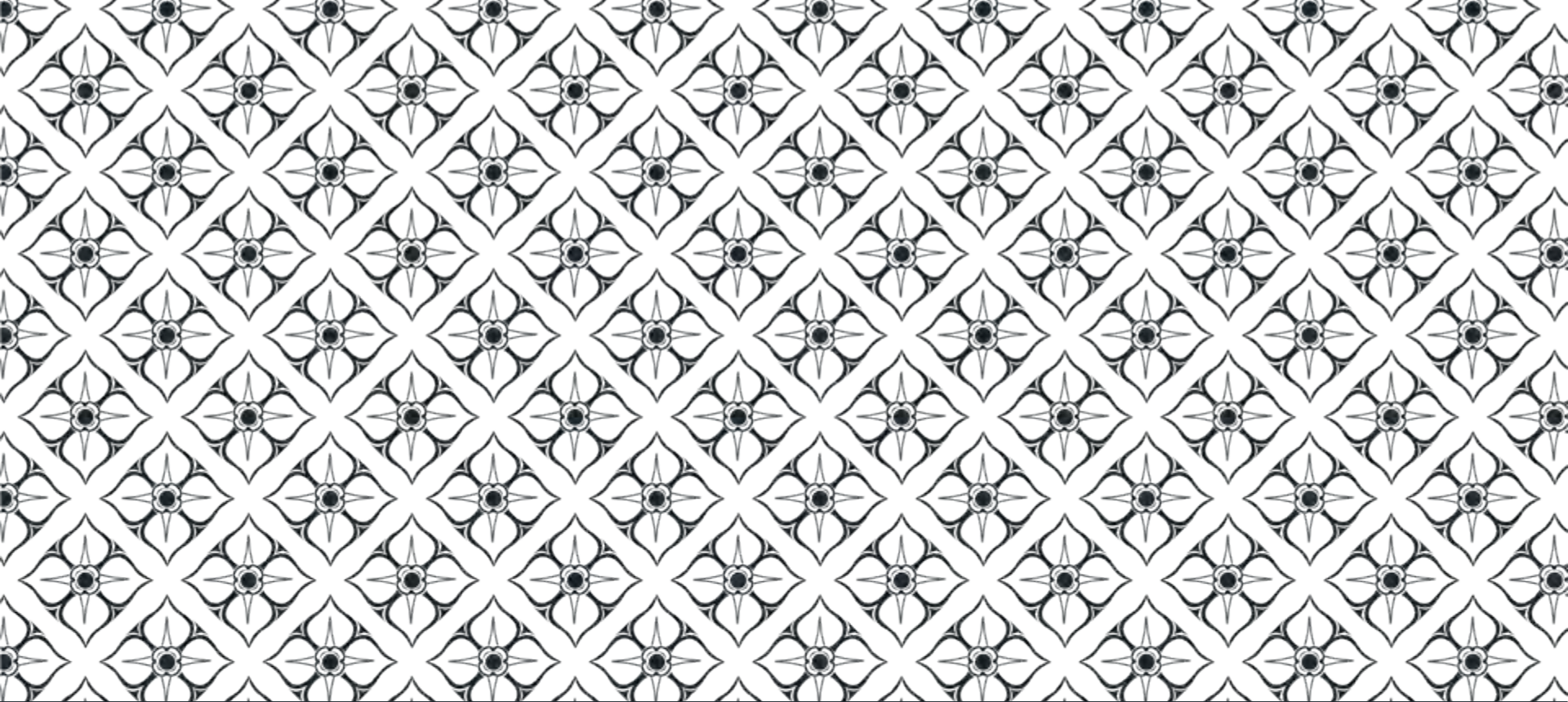


# $C_{60}$ INS -Tosca



# GGA infrared spectrum of C<sub>60</sub>





# *Thermoelectric Heat Recovery*

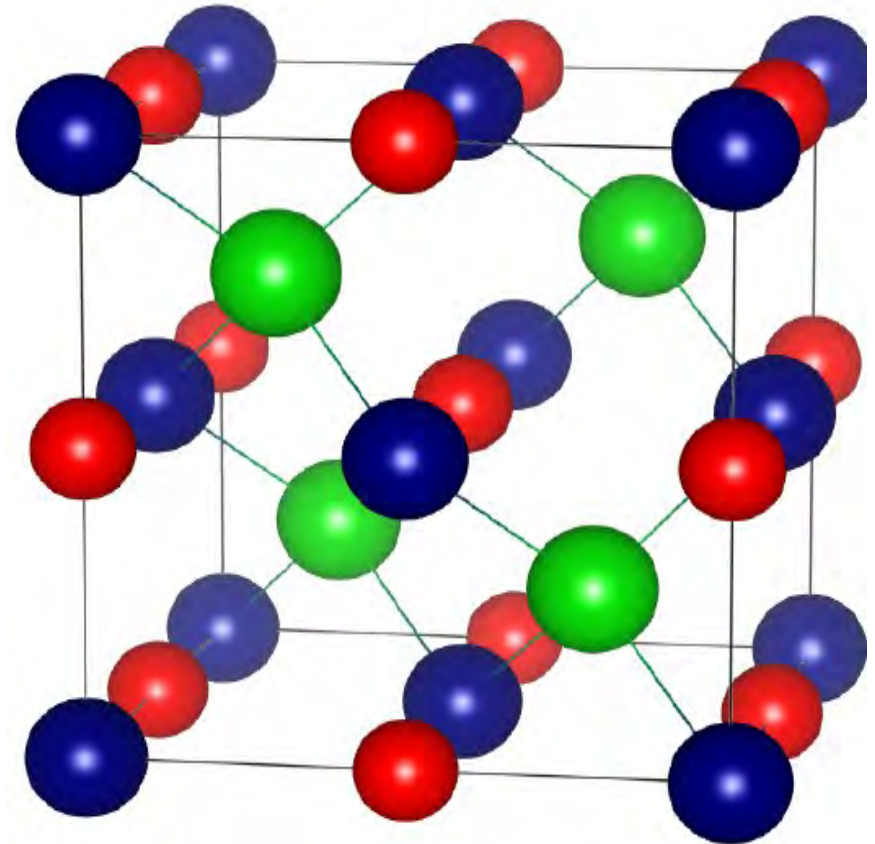




# **TiNiSn-based Half-Heusler Alloys**

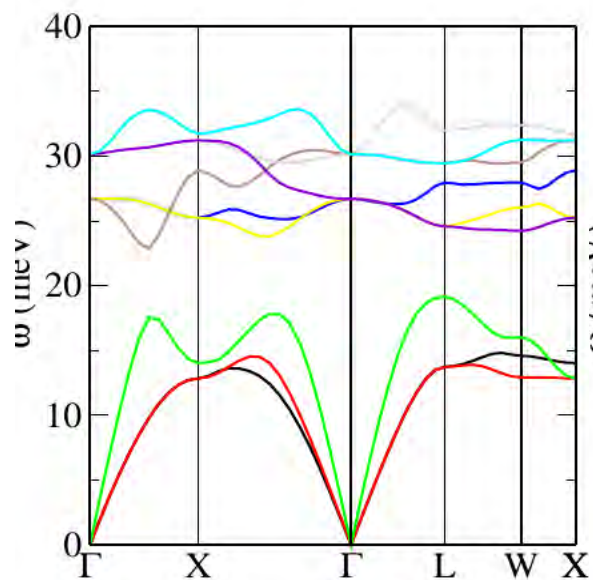
# The Half-Heusler Crystal Structure

- Chemical Formula ABC
- A, B, C are elemental metallic elements
- Closed-shell “18-electron” results in insulating band-gap.
- TiNiSn with excess Ni or Cu show high thermoelectric ZT.
- Investigate phonon origin of low thermal conductivity.
- MARI experiments performed on on Ti/Zr/Hf NiSn
- MARI experiments on excess Ni and Cu in TiNiSn

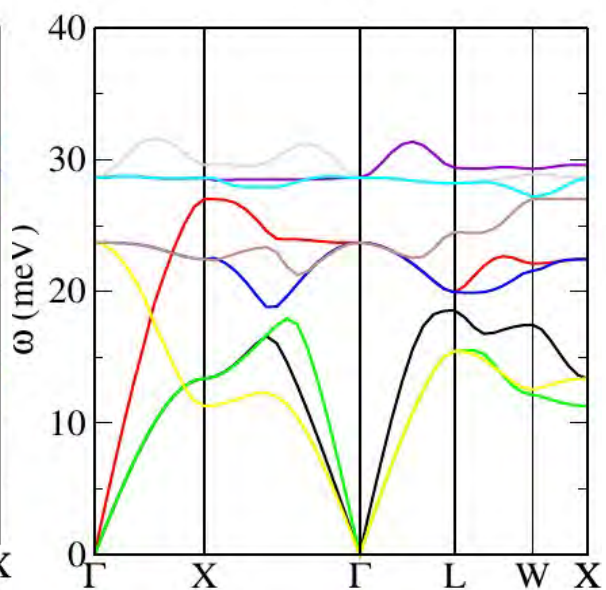


# Ti/Zr/Hf series

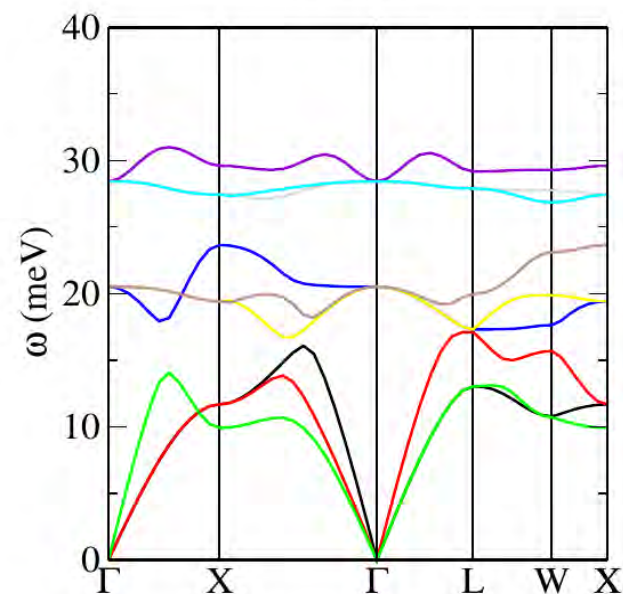
TiNiSn



ZrNiSn



HfNiSn

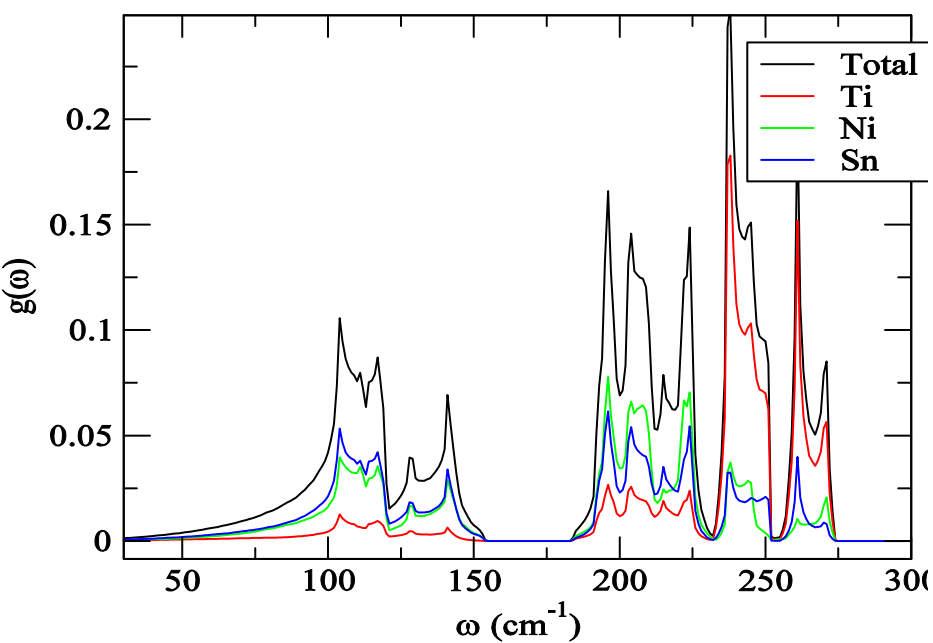


# Effect of Excess Ni in TiNiSn

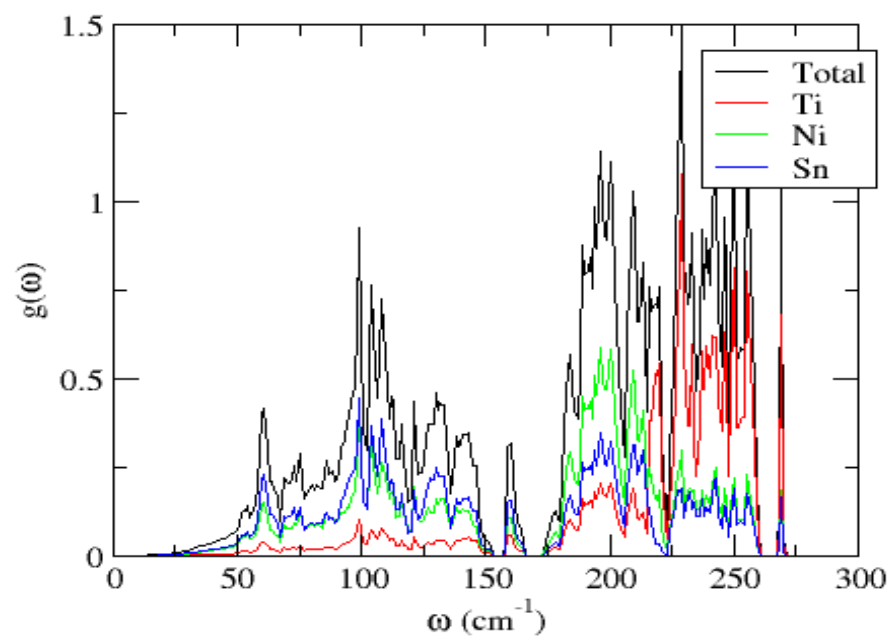


ROYAL  
HOLLOWAY  
UNIVERSITY  
OF LONDON

TiNiSn\_dos



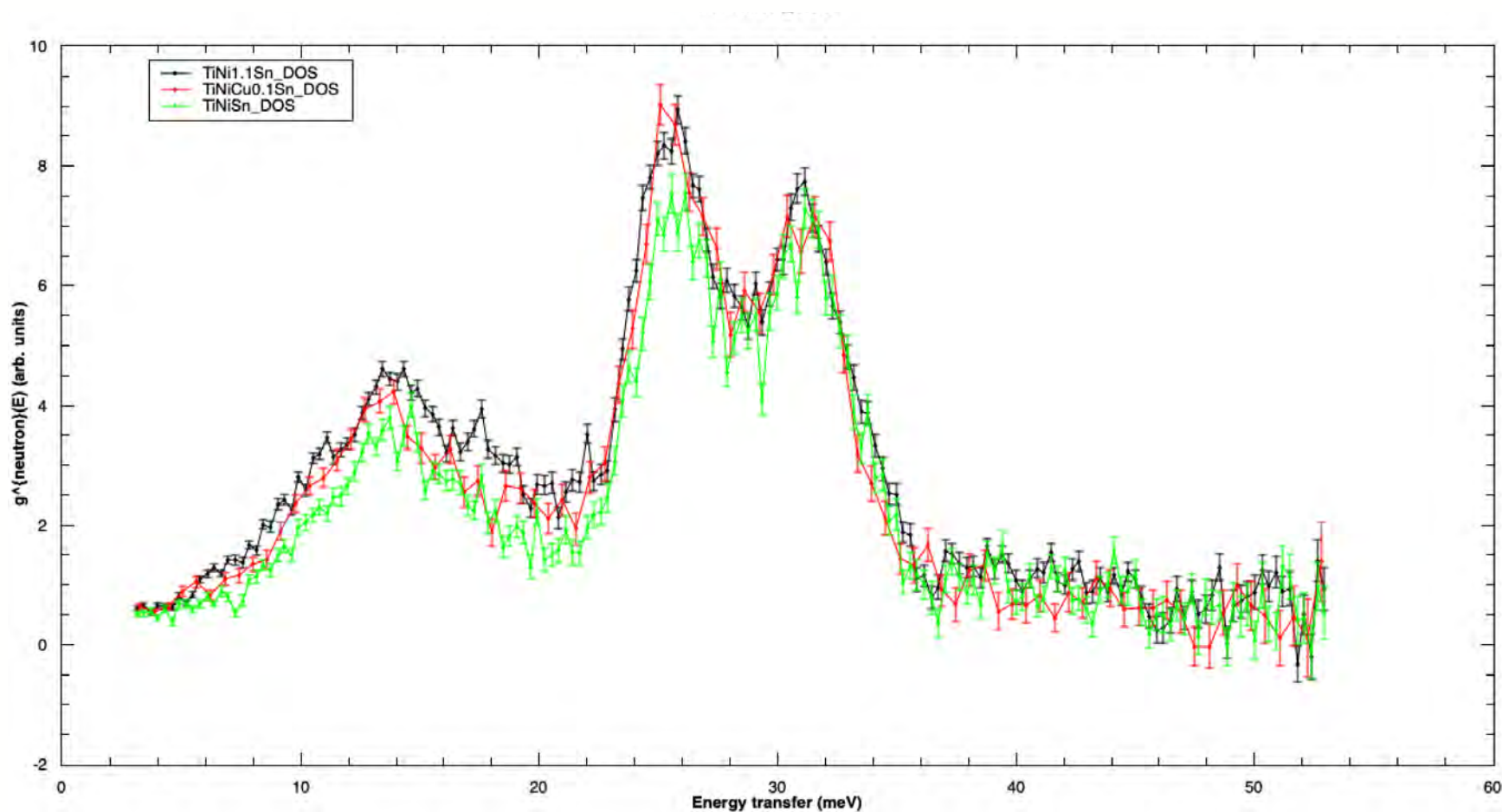
TiNi<sub>1.125</sub>Sn\_dos



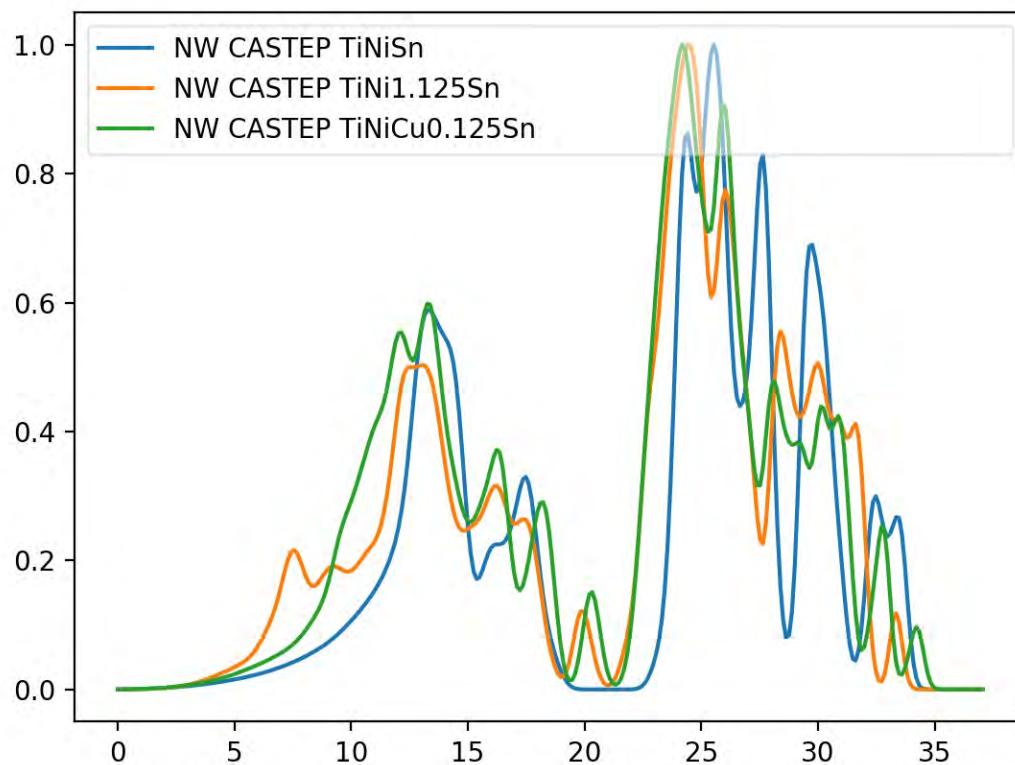
# MARI TiNiSn, TiNi<sub>1.1</sub>Sn, TiNiCu<sub>0.125</sub>Sn



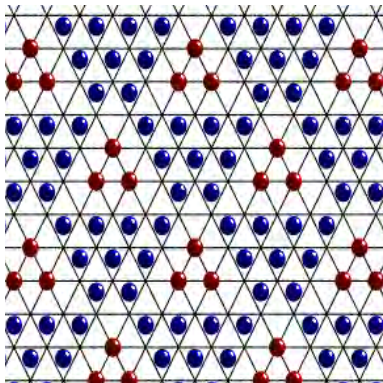
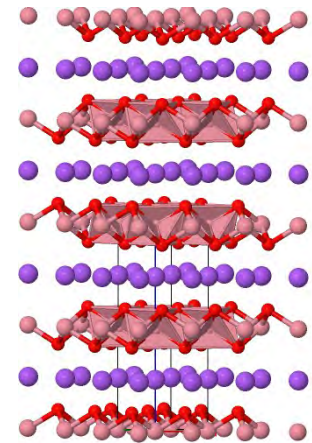
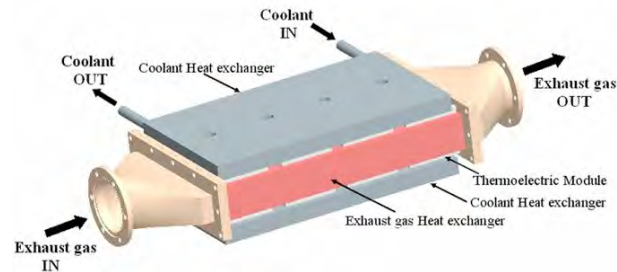
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# Neutron Weighted Phonon DOS



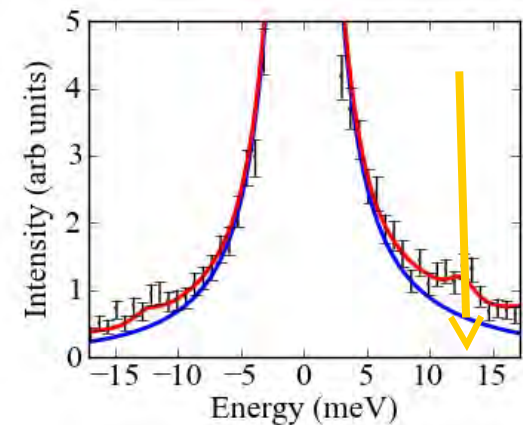
# Rattler mode in thermoelectric $\text{Na}_{0.8}\text{CoO}_2$



“Square Phase” Na ordering at 150K

Thermoelectric  
“figure of merit”

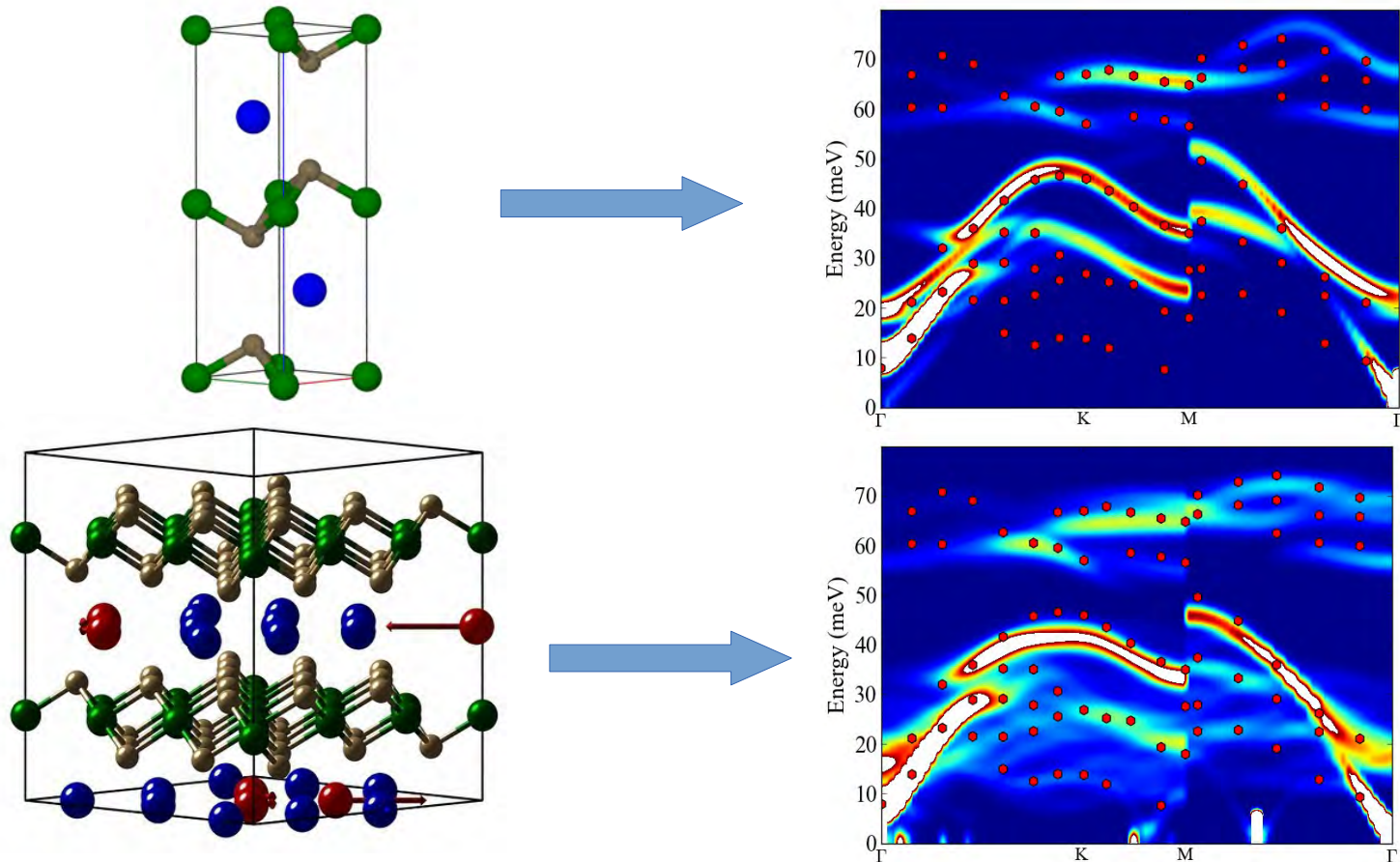
$$zT = \frac{S^2 T \sigma}{\kappa}$$



Inelastic X-Ray spectrum  
measured at ESRF

Roger, M., et al., *Patterning of sodium ions and control of electrons in sodium cobaltate*. Nature **445**, 631 (2007)

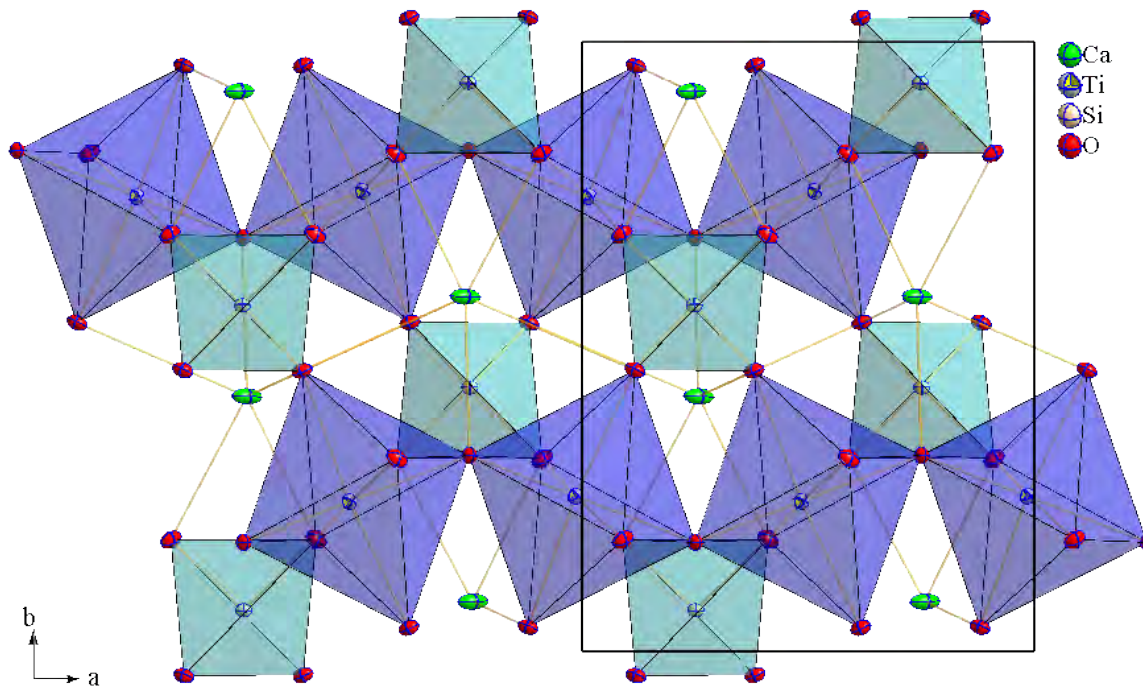
# Ab initio Lattice Dynamics



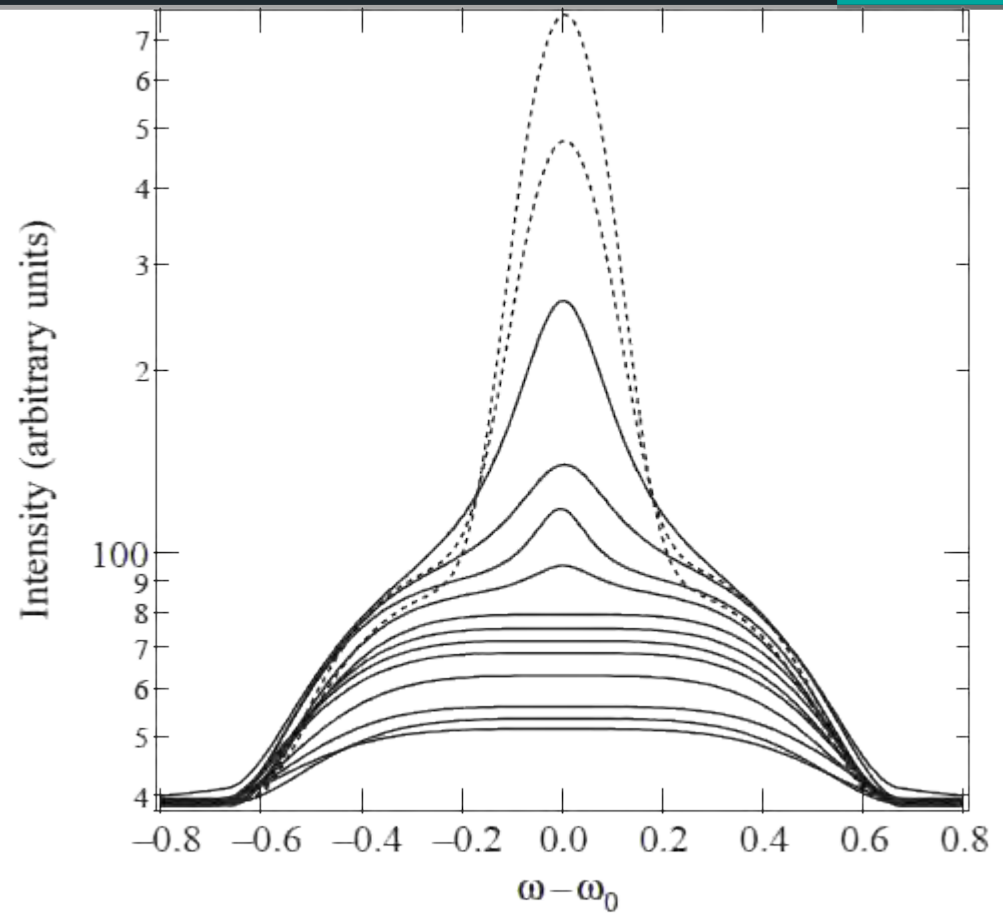
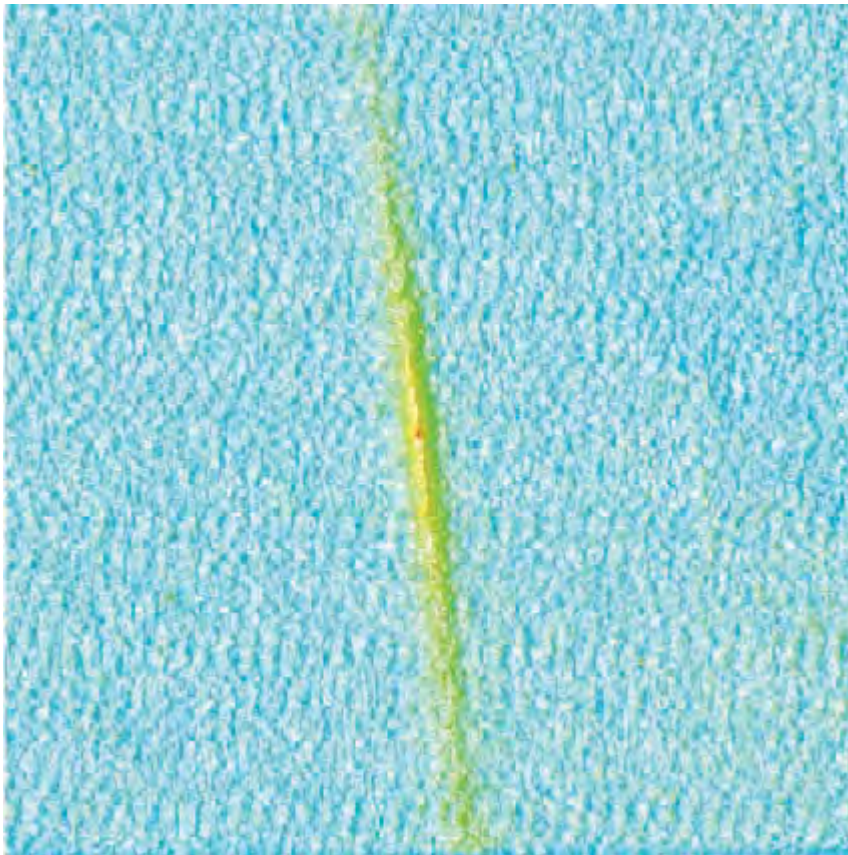
D. Voneshen et al., *Suppression of thermal conductivity by rattling modes in thermoelectric sodium cobaltate*. Nature Materials **12**, 1028 (2013)

# Thermal Diffuse Scattering in Titanite

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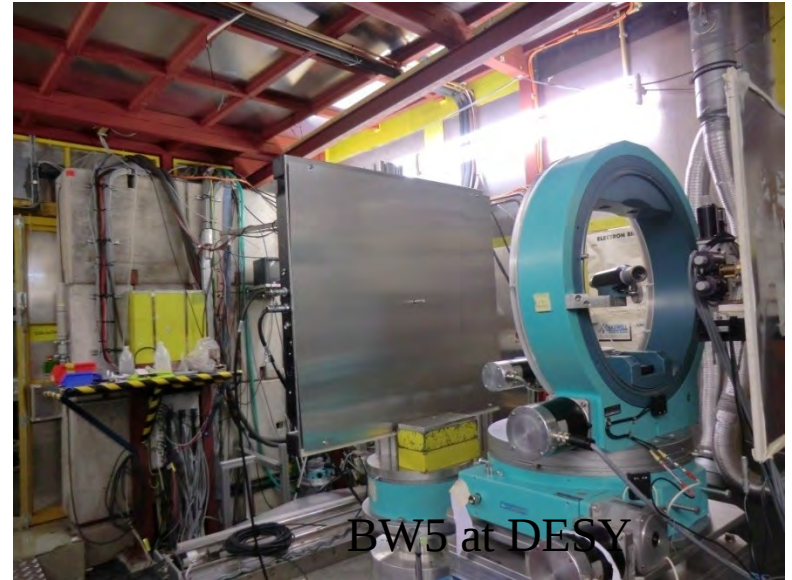
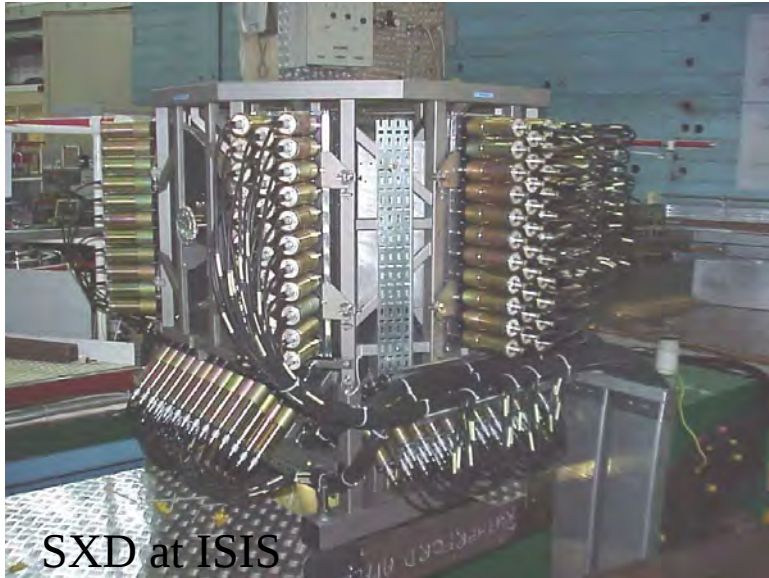
# Motivation



T. Malcherek *et al.*, J. Appl. Cryst. **34** (2001), 108.

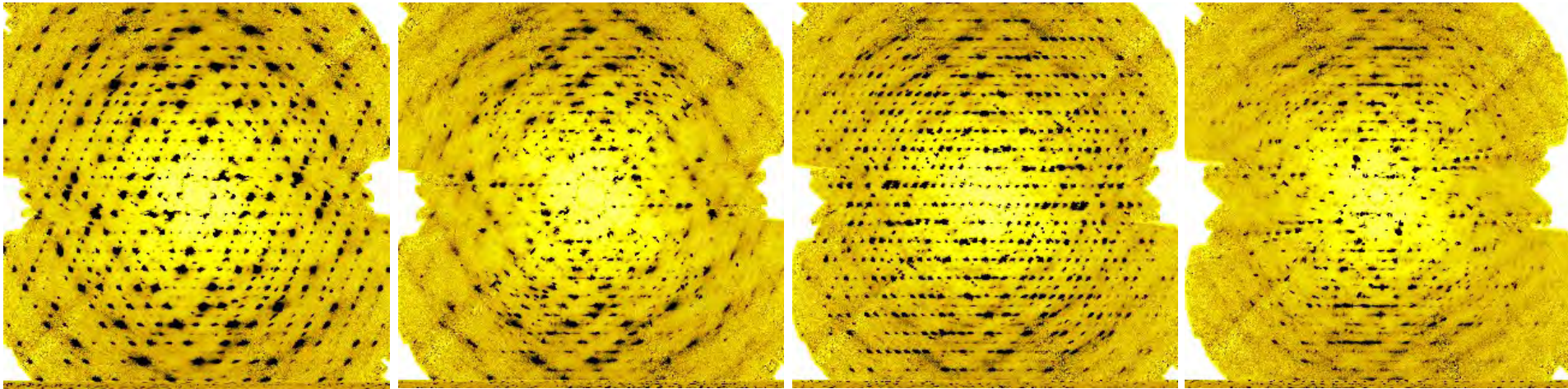
# Experiment

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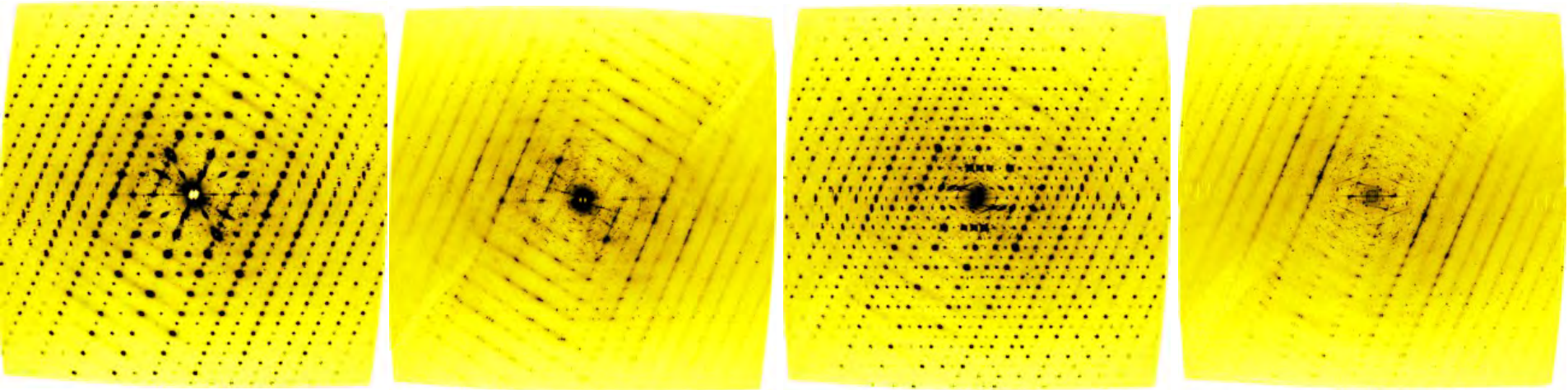


# Diffuse scattering

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Neutron



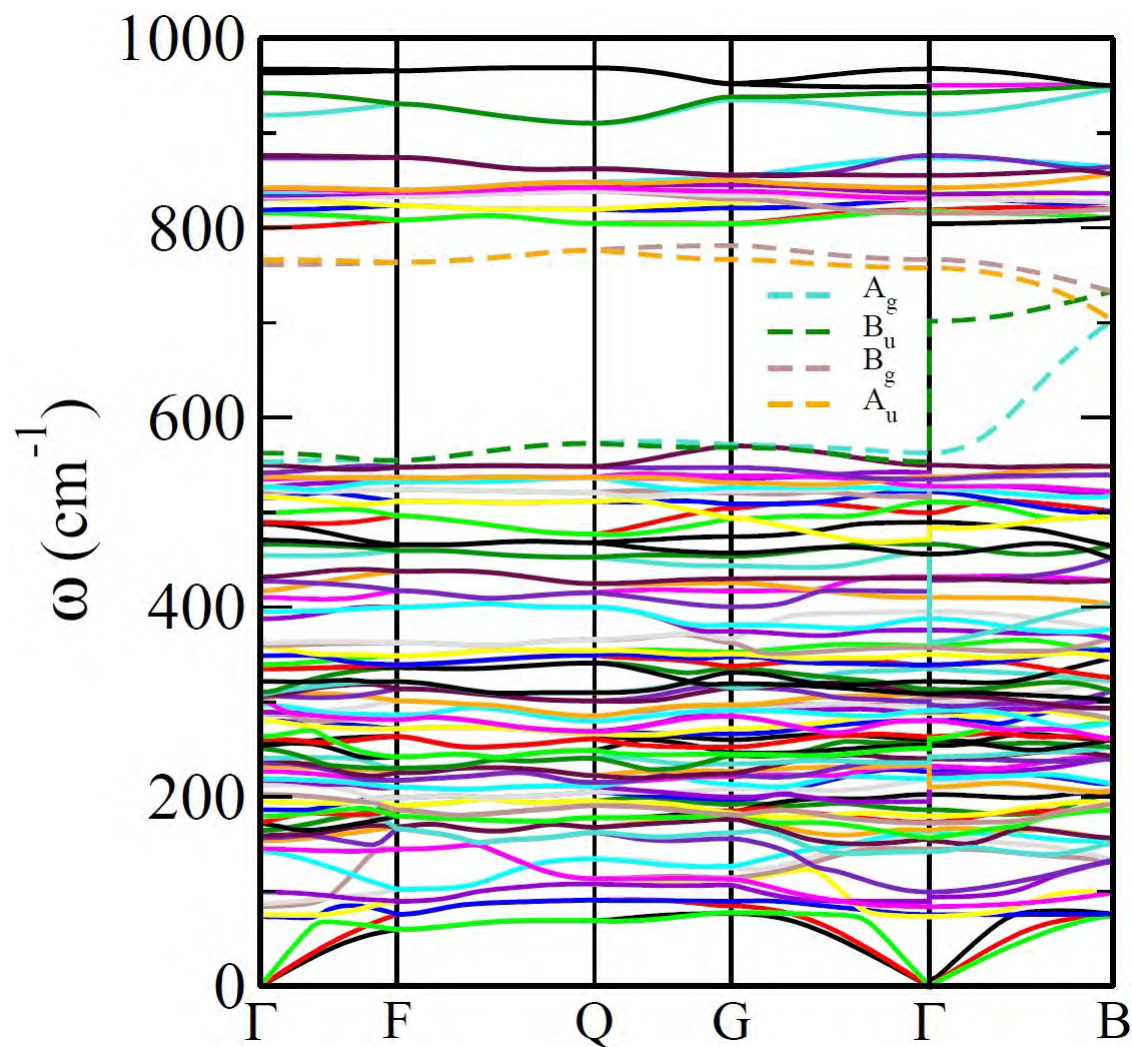
X-Ray

# Phonons and diffraction

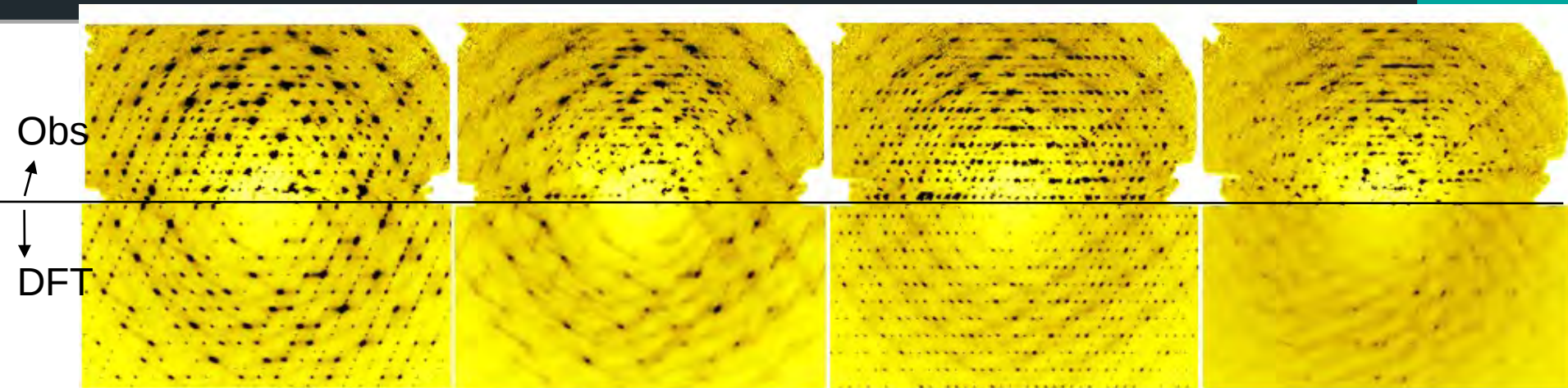
$$F_j(\vec{q}) = \sum_s \frac{f_s}{\sqrt{\mu_s}} \cdot e^{-M_s \cdot (\vec{q} \cdot \vec{e}_{\vec{q},j,s})} \cdot e^{-i\vec{q} \cdot \vec{r}_s}$$

$$I_{TDS} = \frac{\hbar N I_e}{2} \sum_j \frac{1}{\omega_{\vec{q},j}} \coth\left(\frac{\hbar \omega_{\vec{q},j}}{k_B T}\right) |F_j(\vec{q})|^2$$

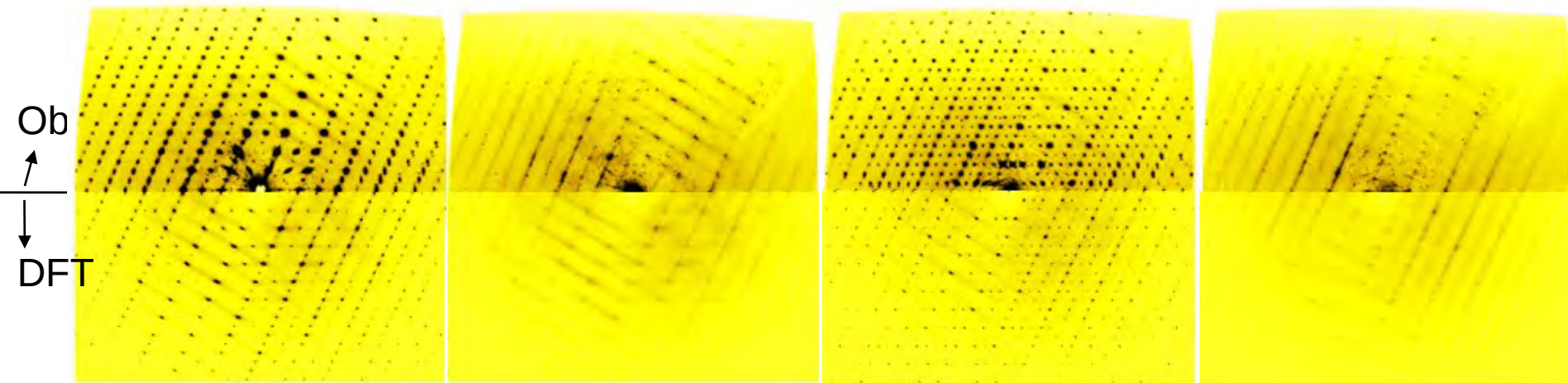
# Dispersion curves from DFPT



# Comparison with data



Neutron



X-Ray